



Address of the Factory/Principal Place of Business\*  
Landmark

City

District

Telephone

Email ID

															State / UT					Distance From Branch (km)						
															Pin code					*Country						
Mobile*										9	1											Fax No				
										No. of Years :		Ownership		Self Owned		Rented or Leased		Others (pl. specify).....								

### GST Details

Whether registered under GST: Y  N  (If yes following details are mandatory) GST Exemption Y  N  Exemption reason if Yes \_\_\_\_\_

GST Registration: Single  Multiple  (Please fill GST annexure for multiple GST registration) Special Economic Zone: Y  N

GSTIN

### Address registered for GSTIN

Same as Registered office / Permanent Address

Same as Correspondence / Communication address

Factory / Principal place of business

As given below

Line1

Line2

Landmark

City

Districts

															State:				
															Pin code				

### Legal Entity Identifier Declaration (Applicable For Non Individual Only)

I/we, \_\_\_\_\_ confirm that the total banking exposure of our firm/company after availing the proposed loan / credit facility is above Rs. 10 Crore. The Legal Entity Identifier (LEI) is applicable to our firm/company and the details of the same are as under:

1) LEI No.:

2) LEI Issuer:

3) LEI Issuance Date:

4) LEI Expiry Date:

I/we confirm that the total banking exposure of our firm/company after availing the proposed loan / credit facility is between Rs.5 Crore to Rs. 10 Crore. We will endeavour to obtain the LEI at the earliest and agree to provide the LEI details to Axis Bank once we obtain the same.

I/we confirm that the total banking exposure of our firm/company after availing the proposed loan / credit facility is below Rs. 5 Crore. The Legal Entity Identifier (LEI) is not applicable to us.

I/we confirm that if total banking exposure of our firm/company goes beyond Rs. 5 Crore during the tenure of the loan/credit facility, we will endeavour to obtain the LEI at the earliest and agree to provide the LEI details to Axis Bank once we obtain the same.

I/We declare that the particulars and information given above are true, correct and up to date in all aspects.

Signature

### Status regarding Statutory Obligations

Whether complied with (select Yes/No). select NA in case not applicable

1	Registration under Shops and Establishment Act	Yes / No / NA
2	Registration under MSME (Provisional/Final)	Yes / No / NA
3	Latest GST returns filed	Yes / No / NA
4	Latest Income Tax returns filed	Yes / No / NA
5	Any other statutory dues remaining outstanding	Yes / No / NA

### PART II : EXISTING BANKING RELATIONSHIP

Existing Axis Bank Customer  Y  N If yes, Customer ID

Details of bank A/c and credit facility availed from banks/financial institutions including Axis Bank

Sr. No.	Bank & Branch with Address	Type of Facility	Limit	ROI

### PART III: Proposal Details

#### Section 1: \*Loan Request Details

Sr.no	Nature of Facility	Amount Requested	Tenure	Purpose	Expected Interest Rate
1.					
2.					
3.					

Mode of Repayment :  Cash  A/c transfer/Standing Instruction  UPI Payment  Electronic transfers like RTGS/NEFT/IMPS/ECS/NACH  Cheque/DD/PO

City \_\_\_\_\_ District \_\_\_\_\_ and Branch \_\_\_\_\_ Where loan is required

#### List of Enclosures

1. KYC Documents: 2. Financial Documents: 3. Bank Statements: 4. Others:

\*Expected timelines for disposal of MSE application subject to completion of required documents is within 6 weeks.



**Declaration**

1. We certify that the information provided by us in this application form is true in all respects and that this shall form the basis of any facility / service that the Axis Bank (the Bank) may decide to grant to us at its sole discretion. We also understand that the Bank reserves the right to seek any information from any source or to give any information and /or assign any work to any third party at its sole discretion. We further agree that the facility that may be provided to us shall be governed by the rules of the Bank that may be in force from time to time. We will be bound by the terms and conditions of the facility that may be granted to us.
2. We are aware that Bank/ its agents may contact me/us through telecall/SMS/email in connection with my request. I/We authorize Axis Bank to disclose from time to time any information relating to my/our facility(ies) to any parent/subsidiaries & associate of Axis Bank and to third party(ies) engaged by Axis Bank.
3. We agree that in case of non-payment of dues, in case of any facility availed from the Bank and consequently the account is to be classified as NPA, all other loan accounts of the Borrower, with the Bank also shall be classified as NPA as per the guidelines issued by RBI and it shall entitle the Bank to recall all such loans/facilities availed by the same customer from the Bank, irrespective of the regular repayment in such accounts.
4. We understand that as a pre-condition, relating to grant of the facility to us, the Bank requires our consent for the disclosure by the Bank of information and data relating to us, the credit facility availed of/to be availed of in relation thereto and default, if any, committed by us, in discharge thereof. Accordingly, we hereby agree and give consent for the disclosure by the Bank of all or any such information and data relating to us, the credit facility availed of/to be availed of in relation thereto and default, if any, committed by us, in discharge thereof, as the Bank may deem appropriate and necessary, to disclose and furnish to the Credit Information Companies [CIC], and any other agency authorised in this behalf by Reserve Bank of India ["RBI"].
5. We declare that the information and data furnished by us to the Bank are true and correct.
6. I/We hereby declare that I/We am/are not defaulter(s) to any Bank/Financial Institution.
7. We undertake that CIC and any other agency so authorized may use, process the said information and data disclosed by the Bank in the manner as deemed fit by them; and CIC and any other agency so authorized may furnish for consideration, the processed information and data disclosed or products thereof prepared by them, to Bank's/ Financial Institution's and other Credit Grantors or Registered Users, as may be specified by the RBI in this behalf.
8. We confirm that the enclosed copies of financials / Bank Statements/ Title / Legal and other documents etc. are submitted by us against our loan application and are true copies.
9. We understand that charges paid to the Bank towards out of pocket expenses and/or log-in/ processing fees are non-refundable and upon the application being withdrawn by us or being rejected by the Bank for any reason whatsoever in the pre-sanction or post-sanction stage, we will not be entitled to any refund either in part or in full.
10. We understand that all charges pertaining to the loan including the Processing fees, prepayment penalty, etc. are to be borne by us. We further understand that the relevant Stamp Duty, Legal Expenses, Valuation Expenses, expenses pertaining to creation of charges with ROC, charges for documentation and any other out of pocket expenses as per actuals will be borne by us.
11. We understand that disbursement will be subject to production of necessary documents as may be required by the Bank from time to time, satisfactory completion of Bank's appraisal and documentation process and compliance with Bank's laid down norms / guidelines
12. The proceeds of the facility shall not be used for investment in small savings scheme, capital market, purchase of gold in any form including primary gold, gold bullion, gold jewelry, gold coins, units of gold ETF and mutual funds. We confirm that we shall utilise the said Credit Facility only for the purposes of Business as mentioned above.
13. I/We undertake that
  - a) I/We authorize Axis Bank to verify and authenticate my/our Aadhaar number during processing my/our application for legitimate Business purposes.
  - b) I/we authorize Axis Bank to Verify/Authenticate my/our KYC OVDs/Aadhaar number/loan documents during processing my/our loan application through third party agencies via digitally/physically for legitimate business purpose
  - c) I/We further authorise the Bank to share my Aadhaar related details/information with regulatory / statutory bodies as and when required.
14. Further, we confirm following: No suit is pending in any court of law against the directors/partners.
  - I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, in case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/we may be held liable for it.
  - My personal / KYC details may be shared with Central KYC Registry
  - I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address
15. Customer declaration in respect of relationship with Director/ Senior Officer of the Bank/any other bank including directors of Scheduled Co-operative Banks, directors of subsidiaries/trustees of mutual funds/venture capital funds."
16. I/We undertake to inform the Bank in case of any update in the information provided or documents submitted by me/us for the grant of facility/Loan by the Bank at the time of establishment of business relationship / account-based relationship and thereafter, as necessary; I/we shall submit to the Bank the update of such information/documents. I/We agree to do the aforementioned within 30 days of the update to any such information/documents for the purpose of updating the records at the Banks' end.
17. I/We agree that Axis Bank reserved the right to retain the documents submitted with application and will not return those to the applicant even if the facility is rejected.
18. I/We shall furnish at the end of every calendar quarter a certificate in the form required by the Bank regarding my/our sales turnover and net profit.
19. I/We declare that: (i) I/we am/are competent and fully authorized to issue such declarations, confirmations, agreements and undertakings and submit this application form for the purpose of borrowing/availing of the requested facility, and to execute all other documents required by Axis Bank for such purpose. (ii) all such letter(s) of authorities/power(s) of attorney, if any, executed by me/us in favour of any person/s and which is/are submitted to the Bank, is/are valid, subsisting and has/have not been revoked by me/us.

Customer Declaration in respect of relationship with Director/Senior Officer of the Bank/any other bank	Yes	No
I/We am/are director(s) of Axis Bank Limited and also a director(s) / partner(s), manager(s), managing agent (s), employee (s), or guarantor(s) or holder(s) of substantial interest of the borrower or its subsidiary or its holding company.		
I/We am/are director(s) of any other bank or the subsidiaries of any of the banks or trustees of mutual funds / venture capital funds set up by the banks and also a director(s) / partner(s), manager(s), managing agent(s), employee(s) or guarantor(s) or holder(s) of substantial interest of the borrower.		
I/We am/are the relative(s) of the director(s) of Axis Bank Limited or any other Bank, as defined by extant guidelines of RBI from time to time, and also a director(s) / partner(s) or guarantor(s) or major shareholder(s) or in control of the borrower or a major shareholder(s) or in control of the holding or subsidiary company of the borrower.		
I/We am/are senior official(s) of the Bank or relative of the senior official of the Bank, as defined by extant guidelines of RBI from time to time, and also a director(s) / partner(s), or guarantor(s) or holder(s) of substantial interest of the borrower.		

If any of the above clause is applicable, then please furnish the details. In case if any of the above stated declarations are breached during the tenor of the facility, the borrower shall inform the bank immediately. In case of non-compliance with the undertaking or giving wrong undertaking in relation to the provisions Connected Lending/Section 20 of the BR Act, at any time during the currency of loan, the Bank reserves the right to recall the loan immediately

Sr. No	Name of Director(s)/Senior Officer (s)	Designation	Relationship
1			
2			

	1	2	3
Signature of Applicant			
Date & Place			
Signature of Guarantor			
Date & Place			

**FATCA-CRS Declaration Please tick the applicable tax resident declaration**

I am a tax resident of India and not resident of any other country OR  I am a tax resident of the country/ies mentioned in the table below:

Please indicate the country/ies in which the entity is a resident for tax purposes and the associated Tax ID Number below:

\*City of Birth         \*Country of Birth         Address Type for Tax Purpose-  Residential  Business  Registered Office

Country#	Tax Identification Number	Identification Type (TIN or Other, please specify)	Address For Tax Purpose		
			<input type="checkbox"/> Communication Address	<input type="checkbox"/> Permanent Address	<input type="checkbox"/> Please note the address below

# To also include USA, where the individual is a citizen/ green card holder of USA In case Tax Identification Number is not available, kindly provide functional equivalent FATCA- CRS Certification: I have understood the information requirements of this Form (read along with the FATCA/CRS Instructions and Terms & Conditions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete and hereby accept the same.



# DECLARATION OF BENEFICIAL OWNERSHIP

(NOT APPLICABLE FOR SOLE PROPRIETORSHIP ACCOUNTS)

Name of the Customer/Entity	
Registered Number (Wherever applicable)	
Registered Address	

The Customer/Entity as stated above hereby confirms and declares that on the below date (Please tick the correct option - option 3 is applicable only for Company)

1.  We (Company) \_\_\_\_\_ confirm that following natural person(s) (listed in the Table below) directly or indirectly has/have controlling ownership interest i.e. having ownership/entitlement of more than 10 percent of the shares/capital/profits of the Company, or exercise control over the Company through other means which includes the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements.
- OR**
- We (Partnership firm) \_\_\_\_\_ confirm that following natural person(s) (listed in the Table below) directly or indirectly has/have ownership/entitlement of more than 10 percent of the capital/profits of the partnership, or exercise control over the Partnership firm through other means which includes the right to control the management or policy decision.
- OR**
- We (Unincorporated association or body of individuals or Society) \_\_\_\_\_ confirm that following natural person(s) (listed in the Table below) directly or indirectly has/have ownership/entitlement of more than 15 percent of the property/capital/profits.
- OR**
- We (Trust) \_\_\_\_\_ confirm that following natural person(s) (listed in the Table below) are beneficiaries, settlor, protector (if any), author(s) of the trust, trustee(s), has/have 10 percent or more interest in the trust or exercise ultimate effective control over the trust through a chain of control or ownership.
2.  **There is no natural person(s) who exercises control or ultimately have a controlling ownership interest directly or indirectly as stated above, therefore details of:**
- All partner(s) (for partnership) /senior managing officials (for unincorporated bodies/Society) who are natural person(s) are stated in the below Table.
  - Natural person(s) holding the position of directors/senior management in the Company are given in the below Table.

(\*If you have ticked any of the above, please complete the Table below before signing the declaration)

Sr No.	Full Name of Beneficial owner/controlling natural person(s)	Date of Birth	Nationality	Address	Type of KYC Documents		Controlling ownership interest (%)
					Identity	Address	

**OR**

3.  The Company is listed on \_\_\_\_\_ (Name of the Stock Exchange\*) in India or is an entity resident in jurisdiction notified by the Central Government and listed on \_\_\_\_\_ (Name of Stock Exchange\*) or it is a subsidiary of \_\_\_\_\_ (Name of the listed Company\*\*) listed on \_\_\_\_\_ (Name of the Stock Exchange\*)

\*Stock Exchange recognized in India or in any jurisdiction notified by Central Govt.

\*\*Entity listed on a Stock Exchange in India or an entity resident in jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions.

The Customer/Company undertakes that the facts stated above are true and correct. The Customer/Company also undertakes and agrees that it will notify Axis Bank without delay of any changes in the controlling persons / shareholders, person exercising control or having controlling ownership interest in the Company / Partnership /LLP/AOP/Society/Trust/Club/University/Institution, as declared in the table above.

For and on behalf of [Name of Company / Partnership / LLP / AOP / Society / Trust / Club / University / Institution]:

Signature of the Authorized Official\*: ✍

Full Name of the Authorized Official: \_\_\_\_\_

Designation/ Position: \_\_\_\_\_

Date: 

D	D	M	M	Y	Y	Y	Y
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- \* The declaration should be signed by:
- i. An active/designated partner in case of Partnership Firm/LLP, a trustee in case of Trust, a senior managing official in case of AOP, Society, Club and member of the Managing Committee in case of University and Institution.
  - ii. The official authorized to sign by the Board Resolution of the Company, in case of a Company.

**Relationship Details (CIC) Individual Entity**

Sr. No.	Field Name	Input / Select Value	Input / Select Value
1	Borrower Cust ID* (Existing customer)		
2	Borrower Name*		
3	Relationship DUNS Number		
4	Related Type*	<input type="checkbox"/> Resident Indian Individual	<input type="checkbox"/> Resident Indian Individual
		<input type="checkbox"/> Foreign/Non-Resident Indian Individual	<input type="checkbox"/> Foreign/Non-Resident Indian Individual
5	Relationship*	<input type="checkbox"/> Shareholder	<input type="checkbox"/> Shareholder
		<input type="checkbox"/> Proprietor	<input type="checkbox"/> Proprietor
		<input type="checkbox"/> Partner	<input type="checkbox"/> Partner
		<input type="checkbox"/> Trustee	<input type="checkbox"/> Trustee
		<input type="checkbox"/> Promoter Director	<input type="checkbox"/> Promoter Director
		<input type="checkbox"/> Nominee Director	<input type="checkbox"/> Nominee Director
		<input type="checkbox"/> Independent Director	<input type="checkbox"/> Independent Director
		<input type="checkbox"/> Director - Since Resigned	<input type="checkbox"/> Director - Since Resigned
		<input type="checkbox"/> Individual Member of SHG	<input type="checkbox"/> Individual Member of SHG
		<input type="checkbox"/> Other Director	<input type="checkbox"/> Other Director
	<input type="checkbox"/> Karta(HUF)	<input type="checkbox"/> Karta(HUF)	
	<input type="checkbox"/> Others(Pls specify)_____ (Should not be tagged as personal relationships like father, mother etc.)	<input type="checkbox"/> Others(Pls specify)_____ (Should not be tagged as personal relationships like father, mother etc.)	
6	Individual Name Prefix *	<input type="checkbox"/> Mr	<input type="checkbox"/> Mr
		<input type="checkbox"/> Ms	<input type="checkbox"/> Ms
		<input type="checkbox"/> Mrs	<input type="checkbox"/> Mrs
		<input type="checkbox"/> Dr	<input type="checkbox"/> Dr
		<input type="checkbox"/> Mx	<input type="checkbox"/> Mx
		<input type="checkbox"/> Other (pls Specify) _____	<input type="checkbox"/> Other (pls Specify) _____
7	Full Name of Related Party*		
8	Gender*	<input type="checkbox"/> Male; <input type="checkbox"/> Female; <input type="checkbox"/> Transgender	<input type="checkbox"/> Male; <input type="checkbox"/> Female; <input type="checkbox"/> Transgender
9	Date of Birth*	<input type="text" value="DDMMYYYY"/>	<input type="text" value="DDMMYYYY"/>
10	PAN*		
11	Voter ID		
12	Passport Number		
13	Driving Licence ID		
*Please provide any one of the following : Voter ID/Passport Number/Driving Licence ID			
14	UID/Aadhar Card	XXXX XXXX _____	XXXX XXXX _____
15	Ration Card Number		
16	DIN Number	Only when Relationship is:	Only when Relationship is:
		1 Promoter Director	1 Promoter Director
		2 Nominee Director	2 Nominee Director
		3 Independent Director	3 Independent Director
		4 Director - Since Resigned	4 Director - Since Resigned
	5 Other Director	5 Other Director	
17	Service Tax		
18	Other ID		
19	Politically Exposed Person (PEP) Status* <small>(Note: *PEP Politically Exposed Persons" (PEPs) are individuals who are or have been entrusted with prominent public functions of a foreign country, including the Heads of States/ Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials)</small>	<input type="checkbox"/> PEP <input type="checkbox"/> Related to PEP <input type="checkbox"/> Not Applicable	<input type="checkbox"/> PEP <input type="checkbox"/> Related to PEP <input type="checkbox"/> Not Applicable
20	Percentage of Control (If applicable)		
21	Address Line 1*		
22	Address Line 2*		
23	Address Line 3		
24	CITY*		
25	Pincode*		
26	State*		
27	Country*		
28	Mobile Number*		
29	Telephone number		
30	Fax number		
31	Udyam Registration Number	UDYAM - ___ - ___ - _____ (First 2 character are alphabet, rest 9 are numeric)	UDYAM - ___ - ___ - _____ (First 2 character are alphabet, rest 9 are numeric)
	Signature and Stamp of Borrower		
	Name:		
	Designation:		
	Place:		
	Date:		

Relationship Details (CIC) Non - Individual Entity			
Sr. No.	Field Name	Input / Select Value	Input / Select Value
1	Borrower Cust ID (Existing customer)*		
2	Borrower Name *		
3	Relationship DUNS Number		
4	Related Type*	<input type="checkbox"/> Business Entity Registered in India	<input type="checkbox"/> Business Entity Registered in India
		<input type="checkbox"/> Business Entity Registered Outside India	<input type="checkbox"/> Business Entity Registered Outside India
5	Relationship*	<input type="checkbox"/> Holding Company	<input type="checkbox"/> Holding Company
		<input type="checkbox"/> Subsidiary Company	<input type="checkbox"/> Subsidiary Company
		<input type="checkbox"/> Partner	<input type="checkbox"/> Partner
		<input type="checkbox"/> Trustee	<input type="checkbox"/> Trustee
		<input type="checkbox"/> Shareholder	<input type="checkbox"/> Shareholder
		<input type="checkbox"/> Joint Venture	<input type="checkbox"/> Joint Venture
		<input type="checkbox"/> Others(Pls specify) _____	<input type="checkbox"/> Others(Pls specify) _____
6	Business Entity Name (Related party)*		
7	Business Category*	<input type="checkbox"/> MSME	<input type="checkbox"/> MSME
		<input type="checkbox"/> SME	<input type="checkbox"/> SME
		<input type="checkbox"/> Micro	<input type="checkbox"/> Micro
		<input type="checkbox"/> Small	<input type="checkbox"/> Small
		<input type="checkbox"/> Medium	<input type="checkbox"/> Medium
		<input type="checkbox"/> Large	<input type="checkbox"/> Large
		<input type="checkbox"/> Others(pls specify) _____	<input type="checkbox"/> Others(pls specify) _____
8	Business / Industry Type*	<input type="checkbox"/> Manufacturing	<input type="checkbox"/> Manufacturing
		<input type="checkbox"/> Distribution	<input type="checkbox"/> Distribution
		<input type="checkbox"/> Wholesale	<input type="checkbox"/> Wholesale
		<input type="checkbox"/> Trading	<input type="checkbox"/> Trading
		<input type="checkbox"/> Broking Commercial	<input type="checkbox"/> Broking Commercial
		<input type="checkbox"/> Service Provider	<input type="checkbox"/> Service Provider
		<input type="checkbox"/> Importing	<input type="checkbox"/> Importing
		<input type="checkbox"/> Exporting	<input type="checkbox"/> Exporting
		<input type="checkbox"/> Agriculture	<input type="checkbox"/> Agriculture
		<input type="checkbox"/> Dealers	<input type="checkbox"/> Dealers
<input type="checkbox"/> Others(pls specify) _____	<input type="checkbox"/> Others(pls specify) _____		
9	Company Registration Number (if available)		
10	Date of Incorporation*	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
11	PAN*		
12	CIN		
13	TIN		
14	Service Tax		
15	Other ID (CKYC No When available)		
16	Percentage of Control (When available)		
17	Address Line 1*		
18	Address Line 2*		
19	Address Line 3		
21	CITY*		
24	District		
26	Pincode*		
28	State*		
29	Country*		
30	Mobile Number*(When available)		
31	Telephone number		
32	Fax number		
33	Udyam Registration (If available) Number	UDYAM - ___ - ___ - _____ (First 2 character are alphabet, rest 9 are numeric)	UDYAM - ___ - ___ - _____ (First 2 character are alphabet, rest 9 are numeric)
	Signature and Stamp of Borrower		
	Name:		
	Designation:		
	Place:		
	Date:		