AXIS BANK

Application Form BE Wholesale

Barcode

(Filling of all the fields is mandatory and No field should be left Blank. User should either provide details or should mention NA to avoid any data fudging jn blank spaces)

For Office use only

Application Date: D D M M Y Y Y Y

Proposal No:

Application No.

Region

Sol Id

Lead Id

Financial Year

Name & Emp ID of officer sourcing the proposal

PART I : GENERAL DETAILS

Name of Borrower:*

Constitution:* ☐ Resident Indian ☐ Proprietorship ☐ Partnership ☐ Private Ltd ☐ Public Ltd ☐ Others (pl. specify) ____________

Nature of Business (Non-Individual):* ☐ Manufacturing ☐ Trading (Retail/Wholesale) ☐ Service Provider ☐ Others (pl. specify) ____________

Details of Business / Occupation (About the Activity):* ____________

Date of Birth/ Incorporation:* D D M M Y Y Y Y

Date of commencement of Business:* D D M M Y Y Y Y

Place of Incorporation:*

Country of Incorporation:*

Annual Turnover (in Rs):*

If Individual: ☐ Information Technology ☐ Professional Service Provider ☐ Agriculture ☐ Trader ☐ Others (pl. specify) ____________

Annual Income (in Rs):*

No. of years in business / employment* :

Source of Fund (Non Individual)* ☐ Business Income ☐ Equity Investment ☐ Donation / Grant ☐ From Group Company ☐ Others (pl. specify) ____________

Source of Fund (Individual)* ☐ Salary ☐ Business Income ☐ Agriculture ☐ Investment Income ☐ Others (pl. specify) ____________

Net Worth (in Rs.) :

National Industrial Classificaton Code (NIC) :

Registration No./CIN : (Company/LLP/OPC)

Import Export code (if applicable):

CKYC No. ☐ Y ☐ N (if yes, CKYC No. number)

Proof of Identity:*
☐ Officially valid document(s) in respect of person authorized to transact ☐ Certificate of Incorpotation/Formation____________
☐ Registration certificate_________ ☐ Memorandum and Articles of Association ☐ Trust Deed ☐ Resolution of Board / Managing Committee
☐ Power of attorney granted to its manager, officers or employees to transact on its behalf ☐ Activity Proof - 1 (For Sole Proprietorship Only)
☐ Activity Proof - 2 (For Sole Proprietorship Only) ☐ Partnership Deed

PAN*.:

UDYAM Registration No.:

Form 60 (Only if Pan No. is not available) ☐ Y ☐ N

Whether the MSME unit is ZED rated: Yes ☐ **No** ☐

If yes, the gradation obtained by the MSME unit (Tick appropriate one)

Bronze ☐ Silver ☐ Gold ☐ Platinum ☐

Aadhar Details: ☐ Individual ☐ Non Individual*

Nationality:

(*Note - Where the client is non-individual, Aadhar number issued to person holding an attorney to transact on its behalf is required to be submitted. Please fill Aadhar details - Part VII for multiple people.)

Proof of address ☐ **Certificate of Incorporation / Formation** ☐ **Registration certificate** ☐ **Other document (pls specify)** ____________

Registered Office/ Permanent Address:*

Landmark

City

State/UT

Distance From Branch (km)

District

Pin code

*Country

Telephone

Mobile* 9 1

Fax No

Email ID

No. of Years : Ownership ☐ Self Owned ☐ Rented or Leased ☐ Others (pl. specify)......

Correspondence/ Communication address:*

Same as above ☐ Y ☐ N

Landmark

City

District

State / UT

Distance From Branch (km)

Telephone

Pin code

*Country

Email ID

Mobile* 9 1

Fax No

No. of Years : Ownership ☐ Self Owned ☐ Rented or Leased ☐ Others (pl. specify)......

Bharat Banking / BE W Form / 2024 / Ver 1.0

Address of the Factory/Principal Place of Business*
Landmark
City | State / UT | Distance From Branch (km)
District | Pin code | *Country
Telephone | Mobile* 9 1 | Fax No
Email ID | No. of Years : | Ownership | Self Owned | Rented or Leased | Others (pl. specify)......

GST Details

Whether registered under GST: Y ☐ N ☐ (If yes following details are mandatory) **GST Exemption** Y ☐ N ☐ Exemption reason if Yes____

GST Registration: Single ☐ **Multiple** ☐ (Please fill GST annexure for multiple GST registration) **Special Economic Zone:** Y ☐ N ☐

GSTIN

Address registered for GSTIN

Same as Registered office / Permanent Address ☐ Same as Correspondence / Communication address ☐
Factory / Principal place of business ☐ As given below ☐

Line1
Line2
Landmark | State:
City | Pin code
Districts

Legal Entity Identifier Declaration (Applicable For Non Individual Only)

☐ I/we,___________________________ confirm that the total banking exposure of our firm/company after availing the proposed loan / credit facility is above Rs. 10 Crore. The Legal Entity Identifier (LEI) is applicable to our firm/company and the details of the same are as under:

1) LEI No.:
2) LEI Issuer:
3) LEI Issuance Date: D D M M Y Y Y Y
4) LEI Expiry Date: D D M M Y Y Y Y

☐ I/we confirm that the total banking exposure of our firm/company after availing the proposed loan / credit facility is between Rs.5 Crore to Rs. 10 Crore. We will endeavour to obtain the LEI at the earliest and agree to provide the LEI details to Axis Bank once we obtain the same.

☐ I/we confirm that the total banking exposure of our firm/company after availing the proposed loan / credit facility is below Rs. 5 Crore. The Legal Entity Identifier (LEI) is not applicable to us.

☐ I/we confirm that if total banking exposure of our firm/company goes beyond Rs. 5 Crore during the tenure of the loan/credit facility, we will endeavour to obtain the LEI at the earliest and agree to provide the LEI details to Axis Bank once we obtain the same.

I/We declare that the particulars and information given above are true, correct and up to date in all aspects.

Signature

Status regarding Statutory Obligations

Whether complied with (select Yes/No). select NA in case not applicable		
1	Registration under Shops and Establishment Act	Yes / No / NA
2	Registration under MSME (Provisional/Final)	Yes / No / NA
3	Latest GST returns filed	Yes / No / NA
4	Latest Income Tax retunes filed	Yes / No / NA
5	Any other statutory dues remaining outstanding	Yes / No / NA

PART II : EXISTING BANKING RELATIONSHIP

Exisiting Axis Bank Customer ☐ Y ☐ N If yes, Customer ID

Deatails of bank A/c and credit facility availed from banks/financial institutions including Axis Bank

Sr. No.	Bank & Branch with Address	Type of Facility	Limit	ROI

PART III: Proposal Details

Section 1: *Loan Request Details

Sr.no	Nature of Facility	Amount Requested	Tenure	Purpose	Expected Interest Rate
1.					
2.					
3.					

Mode of Repayment : ☐ Cash ☐ A/c transfer/Standing Instruction ☐ UPI Payment ☐ Electronic transfers like RTGS/NEFT/IMPS/ECS/NACH ☐ Cheque/DD/PO

City__________________________District__________________________________and Branch______________________________Where loan is required

List of Enclosures
1. KYC Documents: 2. Financial Documents: 3. Bank Statements: 4. Others:

*Expected timelines for disposal of MSE application subject to completion of required documents is within 6 weeks.

PART IV: Security Details

1. Primary Security:

Property Details	
Value of the property	

2. Collateral Security (Non Agri Property / Liquid collateral only):

A. Immovable property

Name of Registered Owner		Relationship with the Borrower	
Property Details			
Age of property in yrs		Value of the property:	

B. Liquid collateral security offered :

Type of security offered		Value of security	

Part V - Interest Rate, Processing Fees & Other Charges

I/We understand and agreed to the following terms pertaining to the facility / loan –

1) Rate of Interest - The final applicable interest rate as decided by Axis Bank on various parameters as per its policies and procedures shall be communicated to the customer at the time of sanction/disbursement of each tranche of the facility/loan. The benchmark REPO / MCLR / SOFR / Any other external benchmark rate as prevalent on the date of first disbursement shall be applicable and would be reset as per the frequency outlined in the sanction letter. The spread would generally remain unchanged during the tenor of the facility/loan. However, credit risk premium may undergo change when borrower's credit assessment undergoes a substantial change. Overdraft against Fixed Deposit (ODFD) account will attract fixed rate of interest basis fixed deposit ownership.

2) Processing Fee - The processing fees applicable on the facility/loan sanctioned by the Bank shall be in accordance with the terms as outlined in the sanction letter.

3) Penal Charges would be levied as follows:

   a). Financial Default*: 8% p.a. above applicable interest rate on the overdue amount (subject to the aggregate not exceeding Rs. 1,00,000/- per instance).

   b). Non-Financial Default**: 1% p.a. above applicable interest rate / commission from the date of each non-financial default on the outstanding amount of fund-based credit facilities and non-fund-based facilities (as applicable).

   There shall be no capitalisation of Penal Charges. The said Penal Charges will be subject to GST as per applicable law on Goods and Service Tax in India, and GST will be charged separately.

   *Financial Default includes all types of payment or financial defaults/irregularities with respect to your Loan Account.
   **Non-Financial Default includes breach of any other obligation(s)/covenant(s) with respect to your Loan Account.

4) Other Charges – Other Charges including but not limited to statutory charges, such as stamp duty on documentation etc., as applicable are payable on actual basis and any other charges as communicated to the customer by the Bank. Goods and Services tax (GST) will be charged extra as per the applicable rates, on all the charges and fees (wherever GST is applicable).

5) Prepayment Charges - The prepayment charges applicable on the facility/loan sanctioned by the Bank shall be in accordance with the terms as outlined in the sanction letter. No prepayment charges are applicable in cases of Micro and Small Enterprises (MSE) customers if : a). Loan amount is up to Rs.50 Lakhs under Fixed rate loans, or b). Floating rate loans (irrespective of the loan limit).

Signature

Part VI - Customer Request Details

a) Activate my account for Mobile number updates and alerts: Yes / No - Mobile
This subscribes to all alerts including Value added service alerts

b) Activate my account for E –Statements registration: Yes / No - email id:
In case E – Statements are activated, physical statements will be disabled

c) New Cheque book request: Number of cheques book/ s required

I have read, understood and agree to the terms and conditions of various products and services. I accept and agree to be bound by the terms and conditions as displayed on www.axisbank.com. I agree that the bank may debit service charges plus taxes to my account wherever applicable.

Signature

Part VII : Aadhaar Details*

* Note : Where the client is non-individual, Aadhaar number issued to person holding an attorney to transact on its behalf is required to be submitted

1. Aadhaar Number :

2. Aadhaar Number :

3. Aadhaar Number :

4. Aadhaar Number :

Customer Declaration:

- I/We authorize Axis Bank to verify and authenticate my/our Aadhaar number during processing my/our application for legitimate Business purposes.
- I/We further authorise the Bank to share my Aadhaar related details/information with regulatory / statutory bodies as and when required.

Signature of Authorized signatory

Signature of Authorized signatory

Signature of Authorized signatory

Signature of Authorized signatory

Part VIII : Customer Declaration of Preferred Language

I confirm my preferred language of communication is :

☐ English ☐ Hindi ☐ Marathi ☐ Punjabi ☐ Bengali ☐ Odia
☐ Gujarati ☐ Kannada ☐ Malayalam ☐ Tamil ☐ Telegu

Signature

Declaration

1. We certify that the information provided by us in this application form is true in all respects and that this shall form the basis of any facility / service that the Axis Bank (the Bank) may decide to grant to us at its sole discretion. We also understand that the Bank reserves the right to seek any information from any source or to give any information and /or assign any work to any third party at its sole discretion. We further agree that the facility that may be provided to us shall be governed by the rules of the Bank that may be in force from time to time. We will be bound by the terms and conditions of the facility that may be granted to us.

2. We are aware that Bank/ its agents may contact me/us through telecall/SMS/email in connection with my request. I/We authorize Axis Bank to disclose from time to time any information relating to my/our facility(ies) to any parent/subsidiaries & associate of Axis Bank and to third party(ies) engaged by Axis Bank.

3. We agree that in case of non-payment of dues, in case of any facility availed from the Bank and consequently the account is to be classified as NPA, all other loan accounts of the Borrower, with the Bank also shall be classified as NPA as perthe guidelines issued by RBI and it shall entitle the Bank to recall all such loans/facilities availed by the same customer from the Bank, irrespective of the regular repayment in such accounts.

4. We understand that as a pre-condition, relating to grant of the facility to us, the Bank requires our consent for the disclosure by the Bank of information and data relating to us, the credit facility availed of/to be availed of in relation thereto and default, if any, committed by us, in discharge thereof. Accordingly, we hereby agree and give consent forthe disclosure by the Bank of all or any such information and data relating to us, the credit facility availed of/to be availed ofin relation thereto and default, if any, committed by us, in discharge thereof, as the Bank may deem appropriate and necessary, to disclose and furnish to the Credit Information Companies [CIC] , and any other agency authorised in this behalf by Reserve Bank of India ["RBI"].

5. We declare that the information and data furnished by us to the Bank are true and correct.

6. I/We hereby declare that I/We am/are not defaulter(s) to any Bank/Financial Institution.

7. We undertake that CIC and any other agency so authorized may use, process the said information and data disclosed by the Bank in the manner as deemed fit by them; and CIC and any other agency so authorized may furnish for consideration, the processed information and data disclosed or products thereof prepared by them, to Bank's/ Financial Institution's and other Credit Grantors or Registered Users, as may be specified by the RBI in this behalf.

8. We confirm that the enclosed copies of financials / Bank Statements/ Title / Legal and other documents etc. are submitted by us against our loan application and are true copies.

9. We understand that charges paid to the Bank towards out of pocket expenses and/or log-in/ processing fees are non-refundable and upon the application being withdrawn by us or being rejected by the Bank for any reason whatsoever in the pre-sanction or post-sanction stage , we will not be entitled to any refund either in part or in full.

10. We understand that all charges pertaining to the loan including the Processing fees, prepayment penalty, etc. are to be borne by us. We further understand that the relevant Stamp Duty, Legal Expenses, Valuation Expenses, expenses pertaining to creation of charges with ROC, charges for documentation and any other out of pocket expenses as per actuals will be borne by us.

11. We understand that disbursement will be subject to production of necessary documents as may be required by the Bank from time to time , satisfactory completion of Bank's appraisal and documentation process and compliance with Bank's laid down norms / guidelines

12. The proceeds of the facility shall not be used for investment in small savings scheme, capital market, purchase of gold in any form including primary gold, gold bullion, gold jewelry, gold coins, units of gold ETF and mutual funds. We confirm that we shall utilise the said Credit Facility only for the purposes of Business as mentioned above.

13. I/We undertake that

a) I/We authorize Axis Bank to verify and authenticate my/our Aadhaar number during processing my/our application for legitimate Business purposes.

b) I/we authorize Axis Bank to Verify/Authenticate my/our KYC OVDs/Aadhaar number/loan documents during processing my/our loan application through third party agencies via digitally/physically for legitimate business purpose

c) I/We further authorise the Bank to share my Aadhaar related details/information with regulatory / statutory bodies as and when required.

14. Further, we confirm following: No suit is pending in any court of law against the directors/partners.

- I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/we may be held liable for it.
- My personal / KYC details may be shared with Central KYC Registry
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address

15. Customer declaration in respect of relationship with Director/ Senior Officer of the Bank/any other bank including directors of Scheduled Co-operative Banks, directors of subsidiaries/trustees of mutual funds/venture capital funds."

16. I/We undertake to inform the Bank in case of any update in the information provided or documents submitted by me/us for thegrant of facility/Loan by the Bank at the time of establishment of business relationship / account-based relationship and thereafter, as necessary; I/we shall submit to the Bank the update of such information/documents. I/We agree to do the aforementioned within 30 days of the update to any such information/documents for the purpose of updating the records atthe Banks' end.

17. I/We agree that Axis Bank reserved the right to retain the documents submitted with application and will not return those tothe applicant even if the facility is rejected.
18. I/We shall furnish at the end of every calendar quarter a certificate in the form required by the Bank regarding my/our sales turnover and net profit.
19. I/We declare that: (i) I/we am/are competent and fully authorized to issue such declarations, confirmations, agreements and undertakings and submit this application form for the purpose of borrowing/availing of the requested facility, and to execute all other documents required by Axis Bank for such purpose. (ii) all such letter(s) of authorities/power(s) of attorney, if any, executed by me/us in favour of any person/s and which is/are submitted to the Bank, is/are valid, subsisting and has/have not been revoked by me/us.

Customer Declaration in respect of relationship with Director/Senior Officer of the Bank/any other bank	Yes	No
I/We am/are director(s) of Axis Bank Limited and also a director(s) / partner(s), manager(s), managing agent (s), employee (s), or guarantor(s) or holder(s) of substantial interest of the borrower or its subsidiary or its holding company.		
I/We am/are director(s) of any other bank or the subsidiaries of any of the banks or trustees of mutual funds / venture capital funds set up by the banks and also a director(s) / partner(s), manager(s), managing agent(s), employee(s) or guarantor(s) or holder(s) of substantial interest of the borrower.		
I/We am/are the relative(s) of the director(s) of Axis Bank Limited or any other Bank, as defined by extant guidelines of RBI from time to time, and also a director(s) / partner(s) or guarantor(s) or major shareholder(s) or in control of the borrower or a major shareholder(s) or in control of the holding or subsidiary company of the borrower.		
I/We am/are senior official(s) of the Bank or relative of the senior official of the Bank , as defined by extant guidelines of RBI from time to time, and also a director(s) / partner(s), or guarantor(s) or holder(s) of substantial interest of the borrower.		

If any of the above clause is applicable, then please furnish the details. In case if any of the above stated declarations are breached during the tenor of the facility, the borrower shall inform the bank immediately. In case of non-compliance with the undertaking or giving wrong undertaking in relation to the provisions Connected Lending/Section 20 of the BR Act, at any time during the currency of loan, the Bank reserves the right to recall the loan immediately

Sr. No	Name of Director(s)/Senior Officer (s)	Designation	Relationship
1			
2			

	1	2	3
Signature of Applicant			
Date & Place			
Signature of Guarantor			
Date & Place			

FATCA-CRS Declaration Please tick the applicable tax resident declaration

☐ I am a tax resident of India and not resident of any other country OR ☐ I am a tax resident of the country/ies mentioned in the table below:
Please indicate the country/ies in which the entity is a resident for tax purposes and the associated Tax ID Number below:

*City of Birth D D M M Y Y Y Y *Country of Birth ☐☐☐☐☐☐☐☐☐☐ **Address Type for Tax Purpose-** ☐ Residential ☐ Business ☐ Registered Office

Country#	**Tax Identification Number**	**Identification Type (TIN or Other, please specify)**	**Address For Tax Purpose**
			☐ **Communication Address** ☐ **Permanant Address** ☐ **Please note the address below**
			☐☐☐☐☐☐ State_______________________ Country ____________________

 # To also include USA, where the individual is a citizen/ green card holder of USA **In case Tax Identification Number is not available, kindly provide functional equivalent** **FATCA- CRS Certification:** I have understood the information requirements of this Form (read along with the FATCA/CRS Instructions and Terms & Conditions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete and hereby accept the same.

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✍ ///////////////////////////)2* ✍ ///////////////////////////)3* ✍ ///////////////////////////)4* ✍ ///////////////////////////)5* ✍ ///////////////////////////)6*

Information on Products and Offerings

From time to time, Axis Bank communicates various new products / special features of existing products / promotional offers which are of significant benefits to its customers. I hereby provide my consent to receive information / services etc. for marketing purposes through Telephone / Mobile / SMS / E-mails by the Bank / its agents. I understand that I may opt out by registering in the 'Provider Customer Preference Register' as per details provided in the 'TRAI' website.

I/We hereby give my consent to and agree and authorize Axis Bank Ltd. to fetch my personal details from UIDAI. I/we hereby state that I/we have no objection in authenticating myself with Aadhaar based authentication system and I/we voluntarily consent to providing my Aadhaar number / VID number, Biometric information and/or One Time Pin (OTP) data (and/or any similar authentication data) for the purpose of BE Wholesale loan application. I/we understand that the biometric and/or OTP and/or any other authentication data I/we may provide for authentication shall be used only for authenticating my identity through the Aadhaar authentication system for the speciifc transaction or as per requirement of law and for no other purposes. I/we confirm that I have been informed about the alternatives to submission of identity information and I/we have agreed to authenticate myself through Aadhaar based authentication system with full understanding of alternatives to submission of identity information. I/we understand that Axis Bank shall ensure security and confidentiality of my personal identity data provided for the purpose of Aadhaar based authentication. I/we authorize Axis bank to verify and authenticate my Aadhaar during processing my BE Wholesale loan. I/we further authorize the Bank to share my Aadhaar related details/information with regulatory / statutory bodies as and when required. I confirm that the Bank has explained and provided me the above information in my local language before collecting my personal details for the purpose of Aadhaar based authentication

I/we hereby expressly consent to and authorize the bank (whether acting by itself or through any of its service providers, and whether in automated manner or otherwise), to collect, store and process my application details, personal data and sensitive information about me, information, papers and data relating to know your customer (KYC), credit information, and any other information about me/pertaining to me or not as may be deemed relevant by the Bank (collectively, "Information") and/we hereby also expressly consent to and authorize the Bank to download KYC details from the CKYC registry using my CKYC ID for the purpose of BE Wholesale loan application.

I/we expressly consent Bank to share and disclose the Information to service providers, consultants, credit information companies utilities, other banks and finacial institutions, affilliates, group companies, subsidiaries, regulators, investigating agencien, judicial, quasi-judicial and statutory authorities, or to other persons/institutions/entities as may be necessary, in connection with the contractual or legal requirements or in the legitimate intersts of the Bank or as per the consent, undertake to process information including by way of storing, structuring, organizing, reproducing, copying, using, profilling, etc. As may be deemed fit by the Bank and for the purposes od cridit appraisal, fraud detection, anti-money laundering obligations, for entering into contract.

Yes ☐ No ☐

Applicant Signature

Consent

If you are happy to provide your consent, please tick the appropriate boxes below: I consent to the processing of my sensitive personal data for the purposes of:

- Developing and carrying out sourcing activities through online account opening channels; ☐
- Developing, marketing, and communicating their products and services to me; ☐
- Data analytics on my personal data to understand usage of products and services and creating opportunities to offer better products and services; ☐

I consent to Axis Bank and/or its affiliates/subsidiaries/ to send marketing communications in respect of its various products and services from time to time by:

- Email Y N
- SMS text messages Y N
- Telephone Y N

Signature of Applicant

* For any grievances please reach out to us at https://www.axisbank.com/contact-us/grievance-redressal/corporate banking
* You can find out more about how we process your personal data, by reading our Customer Privacy Notice, available online at https://www.axisbank.com/privacy-policy

Bharat Banking / BE W Form / 2024 / Ver 1.0

Acknowledgement of Loan application

Bank's Copy

Application ID:

We acknowledge that we have received an application dated ....from Mr. /Ms. .... Resident of .... for a loan of .... under the product..................

For AXIS Bank Ltd.

Date: D D M M Y Y Y Y

(Sign and Stamp) **Received the acknowledgment of loan application** (Applicant's Signature)

Documents received: ☐ Self-Certified ☐ True Copies ☐ Notary Identity Verification Done: ☐

KYC OVD: ☐ Digitally Verified ☐ Manually Verified Digital Verification Ref no. ....

IN PERSON VERIFICATION CARRIED OUT BY

Emp. Name

Emp. Code

Emp. Designation

Emp. Organisation & Code

Emp. Branch

Place

Date D D M M Y Y Y Y

(Employee Signature)

--CUT HERE--

Acknowledgement of Loan application

AXIS BANK **Applicant's Copy**

We acknowledge that we have received an application dated ....from Mr. /Ms. .... Resident of .... for a loan of .... under the product..................

For AXIS Bank Ltd.

(Sign and Stamp)

Date: D D M M Y Y Y Y

Please Note that:

1. This is only an acknowledgement for having received the application and this should not be constructed as an indication for our acceptance of the proposal, a decision on which will be taken only after due consideration of the proposal on its merit and / or on fulfillment of conditions if any, that may be stipulated by the bank.
2. The application will be taken for consideration only after all the particulars / data / documents as may be required are received by the bank
3. In case the pr oposal is rejected/not considered the reasons for the same will be intimated to the applicant.

DECLARATION OF BENEFICIAL OWNERSHIP

(NOT APPLICABLE FOR SOLE PROPRIETORSHIP ACCOUNTS)

Name of the Customer/Entity

Registered Number (Wherever applicable)

Registered Address

The Customer/Entity as stated above hereby confirms and declares that on the below date (Please tick the correct option - option 3 is applicable only for Company)

1. ☐ We (Company) ________________________ confirm that following natural person(s) (listed in the Table below) directly or indirectly has/have controlling ownership interest i.e. having ownership/entitlement of more than 10 percent of the shares/capital/profits of the Company, or exercise control over the Company through other means which includes the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements.

OR

☐ We (Partnership firm) ________________________ confirm that following natural person(s) (listed in the Table below) directly or indirectly has/have ownership/entitlement of more than 10 percent of the capital/profits of the partnership, or exercise control over the Partnership firm through other means which includes the right to control the management or policy decision.

OR

☐ We (Unincorporated association or body of individuals or Society) ________________________ confirm that following natural person(s) (listed in the Table below) directly or indirectly has/have ownership/entitlement of more than 15 percent of the property/capital/profits.

OR

☐ We (Trust) ________________________ confirm that following natural person(s) (listed in the Table below) are beneficiaries, settlor, protector (if any), author(s) of the trust, trustee(s), has/have 10 percent or more interest in the trust or exercise ultimate effective control over the trust through a chain of control or ownership.

OR

2. ☐ **There is no natural person(s) who exercises control or ultimately have a controlling ownership interest directly or indirectly as stated above, therefore details of:**

○ All partner(s) (for partnership) /senior managing officials (for unincorporatedbodies/Society) who are natural person(s) are stated in the below Table.

○ Natural person(s) holding the position of directors/senior management in the Company are given in the below Table.

(*If you have ticked any of the above, please complete the Table below before signing the declaration)

Sr No.	Full Name of Beneficial owner/controlling natural person(s)	Date of Birth	Nationality	Address	Type of KYC Documents		Controlling ownership interest (%)
					Identity	Address	

OR

3. ☐ The Company is listed on ________________________ (Name of the Stock Exchange*)

in India or is an entity resident in jurisdiction notified by the Central Government and listed on ________________ (Name of Stock Exchange*) or it is a subsidiary of ________________________ (Name of the listed

Company**)listed on ________________________ (Name of the Stock Exchange*)

*Stock Exchange recognized in India or in any jurisdiction notified by Central Govt.

**Entity listed on a Stock Exchange in India or an entity resident in jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions.

The Customer/Company undertakes that the facts stated above are true and correct. The Customer/Company also undertakes and agrees that it will notify Axis Bank without delay of any changes in the controlling persons / shareholders, person exercising control or having controlling ownership interest in the Company / Partnership /LLP / AOP / Society / Trust / Club / University / Institution, as declared in the table above.

For and on behalf of [Name of Company / Partnership / LLP / AOP / Society / Trust / Club / University / Institution]:

Signature of the Authorized Official*:

Full Name of the Authorized Official:

Designation/ Position:

Date D D M M Y Y Y Y

* The declaration should be signed by:

i. An active/designated partner in case of Partnership Firm/LLP, atrustee in case of Trust, a senior managing official in case of AOP, Society, Club and member of the Managing Committee in case of University and Institution.

ii. The official authorized to sign by the Board Resolution of the Company, in case of a Company.

Relationship Details (CIC) Individual Entity			
Sr. No.	Field Name	Input / Select Value	Input / Select Value
1	Borrower Cust ID* (Existing customer)		
2	Borrower Name*		
3	Relationship DUNS Number		
4	Related Type*	☐ Resident Indian Individual ☐ Foreign/Non-Resident Indian Individual	☐ Resident Indian Individual ☐ Foreign/Non-Resident Indian Individual
5	Relationship*	☐ Shareholder ☐ Proprietor ☐ Partner ☐ Trustee ☐ Promoter Director ☐ Nominee Director ☐ Independent Director ☐ Director - Since Resigned ☐ Individual Member of SHG ☐ Other Director ☐ Karta(HUF) ☐ Others(Pls specify)_________(Should not be tagged as personal relationships like father, mother etc.)	☐ Shareholder ☐ Proprietor ☐ Partner ☐ Trustee ☐ Promoter Director ☐ Nominee Director ☐ Independent Director ☐ Director - Since Resigned ☐ Individual Member of SHG ☐ Other Director ☐ Karta(HUF) ☐ Others(Pls specify)_________(Should not be tagged as personal relationships like father, mother etc.)
6	Individual Name Prefix *	☐ Mr ☐ Ms ☐ Mrs ☐ Dr ☐ Mx ☐ Other (pls Specify) _________	☐ Mr ☐ Ms ☐ Mrs ☐ Dr ☐ Mx ☐ Other (pls Specify) _________
7	Full Name of Related Party*		
8	Gender*	☐ Male; ☐ Female; ☐ Transgender	☐ Male; ☐ Female; ☐ Transgender
9	Date of Birth*	D D M M Y Y Y Y	D D M M Y Y Y Y
10	PAN*		
11	Voter ID		
12	Passport Number		
13	Driving Licence ID		
	*Please provide any one of the following : Voter ID/Passport Number/Driving Licence ID		
14	UID/Aadhar Card	XXXX XXXX __ __ __ __	XXXX XXXX __ __ __ __
15	Ration Card Numbe r		
16	DIN Number	Only when Relationship is: 1 Promoter Director 2 Nominee Director 3 Independent Director 4 Director - Since Resigned 5 Other Director	Only when Relationship is: 1 Promoter Director 2 Nominee Director 3 Independent Director 4 Director - Since Resigned 5 Other Director
17	Service Tax		
18	Other ID		
19	**Politically Exposed Person (PEP) Status*** (Note: *PEP Politically Exposed Persons" (PEPs) are individuals who are or have been entrusted with prominent public functions of a foreign country, including the Heads of States/ Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials)	**Politically Exposed Person (PEP) Status*** ☐ PEP ☐ Related to PEP ☐ Not Applicable	**Politically Exposed Person (PEP) Status*** ☐ PEP ☐ Related to PEP ☐ Not Applicable
20	Percentage of Control (If applicable)		
21	Address Line 1*		
22	Address Line 2*		
23	Address Line 3		
24	CITY*		
25	Pincode*		
26	State*		
27	Country*		
28	Mobile Number*		
29	Telephone number		
30	Fax number		
31	Udyam Registration Number	UDYAM - __ __ - __ __ - __ __ __ __ __ __ __ (First 2 character are alphabet, rest 9 are numeric)	UDYAM - __ __ - __ __ - __ __ __ __ __ __ __ (First 2 character are alphabet, rest 9 are numeric)
	Signature and Stamp of Borrower Name: Designation: Place: Date:		

Relationship Details (CIC) Non - Individual Entity

Sr. No.	Field Name	Input / Select Value	Input / Select Value
1	Borrower Cust ID (Existing customer)*		
2	Borrower Name *		
3	Relationship DUNS Number		
4	Related Type*	☐ Business Entity Registered in India ☐ Business Entity Registered Outside India	☐ Business Entity Registered in India ☐ Business Entity Registered Outside India
5	Relationship*	☐ Holding Company ☐ Subsidiary Company ☐ Partner ☐ Trustee ☐ Shareholder ☐ Joint Venture ☐ Others(Pls specify)___________	☐ Holding Company ☐ Subsidiary Company ☐ Partner ☐ Trustee ☐ Shareholder ☐ Joint Venture ☐ Others(Pls specify)___________
6	Business Entity Name (Related party)*		
7	Business Category*	☐ MSME ☐ SME ☐ Micro ☐ Small ☐ Medium ☐ Large ☐ Others(pls specify)__________	☐ MSME ☐ SME ☐ Micro ☐ Small ☐ Medium ☐ Large ☐ Others(pls specify)__________
8	Business / Industry Type*	☐ Manufacturing ☐ Distribution ☐ Wholesale ☐ Trading ☐ Broking Commercial ☐ Service Provider ☐ Importing ☐ Exporting ☐ Agriculture ☐ Dealers ☐ Others(pls specify)__________	☐ Manufacturing ☐ Distribution ☐ Wholesale ☐ Trading ☐ Broking Commercial ☐ Service Provider ☐ Importing ☐ Exporting ☐ Agriculture ☐ Dealers ☐ Others(pls specify)__________
9	Company Registration Number (if available)		
10	Date of Incorporation*	D D M M Y Y Y Y	D D M M Y Y Y Y
11	PAN*		
12	CIN		
13	TIN		
14	Service Tax		
15	Other ID (CKYC No When available)		
16	Percentage of Control (When available)		
17	Address Line 1*		
18	Address Line 2*		
19	Address Line 3		
21	CITY*		
24	District		
26	Pincode*		
28	State*		
29	Country*		
30	Mobile Number*(When available)		
31	Telephone number		
32	Fax number		
33	Udyam Registration (If available) Number	UDYAM - _ _ - _ _ - _ _ _ _ _ _ _ (First 2 character are alphabet, rest 9 are numeric)	UDYAM - _ _ - _ _ - _ _ _ _ _ _ _ (First 2 character are alphabet, rest 9 are numeric)
	Signature and Stamp of Borrower Name: Designation: Place: Date:		