



**भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India**

**General Manager
Foreign Portfolio Investors & Custodians Division**

IMD/FPIC/OW/SD/10426/1/2019
April 25, 2019

**The Compliance Officer
Axis Bank Limited,
Custodial Services - Treasury Operations,
4th Floor, NPC, Bldg no.1, Gigaplex,
Plot no. 05, Near I-Gate, MIDC, Patni Road,
Airoli Knowledge Park,
Airoli, Navi Mumbai - 400708**

Dear Sir/Madam,

Sub: SEBI (Custodian of Securities) Regulations 1996 – Grant of certificate of Registration.

1. This has reference to your letter dated January 23, 2019 w.r.t application for renewal of certificate of registration as a custodian in terms of Securities and Exchange Board of India (Custodian) Regulations, 1996.
2. You may note that vide notification dated March 22, 2019, SEBI (Custodian) Regulations, 1996 was amended. Pursuant to the said amendment in Regulation 9A, every certificate granted under Regulation 8(3) of the Securities and Exchange Board of India (Custodian) Regulations, 1996, shall be valid unless it is suspended or cancelled by the Board.
3. SEBI vide email dated April 11, 2019 (copy enclosed) informed the same to all the custodians.
4. Accordingly, your certificate of registration (Registration no. IN/CUS/019) is valid/ permanent unless it is suspended or cancelled by the Board. Hence, your original certificate is being returned to you.
5. You shall abide by the SEBI (Custodian) Regulations, 1996 as amended from time to time in respect of activities conducted by you

Yours faithfully,

Achal Singh

Encl: a) Original certificate of registration
b) SEBI email dated April 11, 2019 to all custodians

SANJAY DHAKITE

From: RAJKUMAR PATRA
Sent: 11/04/2019 11:08
To: SANJAY DHAKITE; Micky Kher; ZUBIN; Nitesh Bhandari; ANJAN PATEL
Subject: FW: Validity of certificate of SEBI registration

Dear All,

For information please.

Regards,

Rajkumar

From: RAJKUMAR PATRA
Sent: 11/04/2019 11:03 AM
To: all_cust
Cc: ACHAL SINGH; 'Lipikar Jena /CMCG/IBANK/BKC'; Manish Bhandari /CORP/IBANK/BKC; Jha, Prasanna ; DEUTSCHE BANK AG; 'Tandon, Vikas'
Subject: Validity of certificate of SEBI registration

Dear Sir/ Madam,

This has reference to amendment to the Securities and Exchange Board of India (Custodian) Regulations, 1996. Pursuant to the said amendments, every certificate granted under regulation 8(3) of the Securities and Exchange Board of India (Custodian) Regulations, 1996, shall be valid unless it is suspended or cancelled by the Board.

Accordingly, all the certificates granted under the aforesaid regulations shall be treated as valid/ permanent unless it is suspended or cancelled by the Board.

Thanks and regards,

Rajkumar Patra
Division of Foreign Portfolio Investors & Custodians

Securities and Exchange Board of India
SEBI Bhavan | C4-A, G Block | Bandra Kurla Complex | Mumbai - 400 051 | INDIA |
Office: +91 22 2644 9338 | Fax: +91 22 2644 9022/26 | e-mail: rkpatra@sebi.gov.in

प्रपत्र ख
FORM B

(विनियम 8 देखें)
(see regulation 8)

भारतीय प्रतिभूति और विनियम बोर्ड (प्रतिभूति अभिरक्षक)
विनियम, 1996

SECURITIES AND EXCHANGE BOARD OF INDIA (CUSTODIAN OF
SECURITIES) REGULATIONS, 1996

प्रतिभूति अभिरक्षक के रूप में रजिस्ट्रीकरण प्रमाणपत्र
CERTIFICATE OF REGISTRATION AS CUSTODIAN OF SECURITIES

I बोर्ड, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 (1992 का 15) के अधीन बनाये गये विनियमों के साथ पठित उस अधिनियम की धारा 12 की उपधारा (1) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए

In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992, (15 of 1992) read with the regulations made thereunder, the Board hereby grants a certificate of registration to

एक्सिस बैंक लिमिटेड
AXIS BANK LIMITED

को अधिनियम और उसके अधीन बनाये गये विनियमों में विनिर्दिष्ट शर्तों के अध्याधीन एतद्वारा प्रतिभूति अभिरक्षक के रूप में रजिस्ट्रीकरण प्रमाणपत्र प्रदान करता है।

as a custodian of securities subject to the conditions specified in the Act and in the regulations made thereunder.

II. प्रतिभूति अभिरक्षक का रजिस्ट्रीकरण कूट सं. आइ एन/अभि/019 है।

The Registration Number of the custodian of securities is IN/CUS/019.

III यह रजिस्ट्रीकरण प्रमाणपत्र 26.04.2016 से 25.04.2019 तक विधिमान्य है।

This certificate of registration is valid from 26.04.2016 to 25.04.2019

दिनांक : 22 अप्रैल 2016

Date : April 22, 2016

स्थान : मुंबई

Place : Mumbai



आदेश द्वारा
By order

अचल सिंह
Achal Singh

प्राधिकृत हस्ताक्षरकर्ता
Authorised Signatory

भारतीय प्रतिभूति और विनियम बोर्ड

के लिए और की ओर से

For and on behalf of

SECURITIES AND EXCHANGE BOARD OF INDIA