NATIONAL PENSION SYSTEM (NPS)- SUBSCRIBER REGISTRATION FORM - PRIVATE SECTOR

How did you hear about NPS Friend/Family ☐ Social Media ☐ Newspaper/Magazines ☐ TV/ Radio ☐ Financial Advisor/Apps ☐ Employer ☐

PRAN Card & Kit* (refer sl no.1 of instructions) ☐ ePRAN Kit ☐ Physical PRAN Kit

Print my PRAN in Hindi ☐ YES ☐ No If Yes, please submit details as per Annexure I

Please select your category* ☐ Corporate ☐ All Citizen

Paste recent passport size photograph (3.5 cm x 2.5 cm size)

Do not sign across
Do not stapple / clip

To
National Pension System Trust
Dear Sir/ Madam,
I hereby request that an NPS account be opened in my name as per the particulars given below:

* indicates mandatory fields. Please fill the form in English and BLOCK letters (Refer general guidelines at instructions page).

CKYC Identifier ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ RA Code ☐☐☐☐☐☐☐☐☐☐☐☐☐☐

1. PERSONAL DETAILS: (Refer Sr no 1 of instructions)

Use Annexure II if name exceeds the space provided below

Salutation* ☐ Shri ☐ Smt. ☐ Kumari

Applicant Name* First Middle Last

Father's Name First Middle Last

Mother's Name First Middle Last

Either Father's or Mother's name is mandatory* Select the name to appear on PRAN Card ☐ Father's Name ☐ Mother's Name

Date of Birth* d d m m y y y y

Place of Birth*

Country of Birth*

Gender* ☐ Male ☐ Female ☐ Transgender Nationality*

Marital Status* ☐ Unmarried ☐ Married ☐ Widow/Widower ☐ Divorcee

Spouse Name* (if married) First Middle Last

PAN Card* or Form 60 furnished ☐ Submission of PAN or Form 60 is mandatory

Income Range (per annum) Below 1 lac to 5 lac ☐ 5 lac to 10 lac ☐ 10 lac to 25 lac ☐ 25 lac to 1 Cr ☐ Above 1 Cr

Occupation Details* ☐ Public Sector ☐ Private Sector ☐ Professional ☐ Self Employed ☐ Homemaker ☐ Others .....

Please Tick If Applicable ☐ Politically exposed person ☐ Related to Politically exposed person (Please refer instruction no. 1)

2. PROOF OF IDENTITY AND ADDRESS * (Refer Sr no 2 of instructions)

Passport Passport Expiry Date

Driving License Driving License Expiry Date d d m m y y y y

Voter ID Card Proof of possession of Aadhaar Provide last four digits

NREGA Job Card ☐ PoP Certificate

National Population Register

3. ADDRESS DETAILS* (As per the proof submitted)

Line 1

Line 2 Village/City

District State/U.T.

Country PIN Code

4. CONTACT DETAILS*

Mobile* 91 Telephone with STD code

Email ID*

5. BANK DETAILS* (Proof to be submitted- Refer Sr no. 3 of the instructions)

Account Type ☐ Savings A/c ☐ Current A/c

Bank A/c Number

Bank Name IFS Code

6. NOMINATION DETAILS* (Refer Sr no. 4 of the instructions)

A. The nomination shall be in favor of one or more persons belonging to his/her family. For nominating more than one person, submit Annexure III
B. A fresh nomination shall be made by the subscriber on his/her marriage.

Nominee Name: First | Middle | Last

Relationship | Age | Date of Birth (In case of Minor) DD MM YYYY

Name of Guardian (if nominee is a minor): First | Middle | Last

7. SELECTION OF PENSION FUND (PF) AND INVESTMENT CHOICE* (Refer Sr no. 5 of the instructions)

1. Maximum equity allocation under active choice is restricted after 50 years of age. Refer instructions carefully before allocating percentage share in equity.
2. All Citizen: Selection of one PF is mandatory else form will be rejected. If no investment choice is sejected, funds will be invested in Auto Choice (LC50).
3. Corporate Model: The PF / Investment Choice may be exercised in consultation with your Employer.

Pension Fund* (Please Tick (✓) one)

- ☐ Aditya Birla Sunlife Pension Mgmt Ltd
- ☐ HDFC Pension Mgmt Co Ltd
- ☐ Kotak Mahindra Pension Fund Ltd
- ☐ MAX Life Pension Fund Mangement Ltd
- ☐ Tata Pension Management ltd
- ☐ Axis Pension Fund Mgmt. Ltd.
- ☐ ICICI Prudential Pension Funds Mgmt.Co. Ltd.
- ☐ LIC Pension Fund Ltd
- ☐ SBI Pension Funds Private Ltd
- ☐ UTI Retirement Solutions Ltd

Investment Choice (Please Tick (✓) one)

Active Choice mention the % share in each asset class below

E (Upto75%)	C (Upto 100%)	G (Upto 100%)	A (Upto 5%)	Total
% Equity	% Corp Bonds	%Govt Sec	% Alt Assets	100%

OR

☐ Auto Choice select one life cycle fund below

Conservative(LC25) ☐ Moderate (LC50) ☐ Aggressive(LC75) ☐

8. Activate my Tier- II account (Please tick (✓) to activate) (Refer Sr no 7 of instructions) Providing PAN is mandatory

☐ With the same bank, nominee & investment details ☐ With different bank/nominee/investment details as per Annexure IV

9. FATCA* (Foreign Account Tax Compliance Act) & CRS DECLARATION (Refer Sr no.6 of the instructions)

☐ I am a tax resident of India and not resident of other country ☐ I am a tax resident of the country/ies mentioned below

US Person ☐ Yes ☐ No

Particulars		Country (1)	Country (2)	Country (3)
Country/countries of Tax Residency				
Address in the jurisdiction for Tax Residence	Address Line 1			
	City/Town/Village			
	State			
	Zip/Post Code			
Tax Identification Number (TIN)/PAN/Functional equivalent Number				
TIN/PAN/Functional equivalent Number Issuing Country				
Validity of documentary evidence provided (Wherever applicable)		ddmmyyyy	ddmmyyyy	ddmmyyyy

I have understood the informaiton requirements of this Form (read along with the FATCA/CRS Instructions and Terms & Conditions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete and hereby accept the same.

Signature / Thumb Impression* of Applicant (refer instructions)

10. DECLARATION BY APPLICANT* **(Refer Sr no 7 of instructions)**

I have read and understood the terms and conditions of the National Pension System. The information and documents furnished by me are true and correct, to the best of my knowledge. Any changes in the information furnished by me shall be informed to CRA / NPS Trust. I do not hold any pre-existing account under NPS. I understand that I shall be fully liable for submission of any false or incorrect information or documents.

Declaration under the Prevention of Money Laundering Act, 2002

I hereby declare that the contribution paid by me/on my behalf has been derived from legally declared and assessed sources of income. I understand that NPS Trust has the right to peruse my financial profile or share the information, with other government authorities. I further agree that NPS Trust has the right to close my PRAN in case I am found violating the provisions of any law relating to prvention of money laundering.

Date: dd mm yyyy Place

Signature/Tumb Impression* of Applicant (*LTI In case of males and RTI in case of females to be provided. Toe impression in case no hands)

11. DECLARATION BY EMPLOYER* **(All Details are Mandatory)**

Date of Joining dd mm yyyy Date of Retirement dd mm yyyy

Employee Code/ID Non-mandatory if not available

CHO Registration Number CBO Registration Number

It is certified that _____ is employed with us and the details provided in this subcriber registration from including the address and employment details provided above are as per the service record of the employee maintained with us. It is further certified that he/she has read entry/entries have been read over to him/her by us and got confirmed by him/her.

Name of the Authorised Person

Designation of the Authorised Person

Date ddmmyyyy

Place

Signature of Authorised person | Rubber Stamp of the Employer

12. TO BE FILLED BY POP *

Receipt No. (17 digits)

POP Registration Number | POP-SP Registration Number

Documents Received

Existing Customer: I/we hereby certify/confirm that Shri/Smt/Kum..... is an exiting KYC verified customer. The above applicant is having an operative Bank/ Demat/ Folio/ ..... account (specify nature of the account) having account number /client ID ..... maintained at ..... branch/office. The kYC documents available with us for this customer/client matches the requirement for opening NPS account and are in compliance with PMLA Rules. I/We further confirm that the Savings Bank A/c of Shri/Smt/Kum ..... is not a 'Basic Savings Bank Deposit Account(applicable in case of Bank PoP)

Name of the Authorised Person			
Designation of the Authorised Person			
Date	ddmmyyyy	Signature of Authorised person	Rubber Stamp of the Employer
Place			

ACKNOWLEDGEMENT

Name of the Subscriber :

Application Receipt Date d d m m y y y y

Stamp and Signature of PoP

Initial Contribution Amount:

Mode of Payment

☐ Cheque/DD ☐ Debit Instruction ☐ Cash

INSTRUCTIONS FOR FILLING THE SUBSCRIBER REGISTRATION FORM

General Guidelines

(a) Please fill in legible handwriting to avoid errors. Do not overwrite. Corrections should be countersigned by the applicant. Applications incomplete in any aspect (or) if mandatory fields are left blank (or) with unclear photograph (or) not accompanied by required documents (or) not authenticated by PoP/PoP-SP are liable to be rejected.

(b) Copies of documents submitted by the applicant should be self-attested.

(c) Applicant is advised to retain the acknowledgment slip signed/stamped by the PoP/PoP-SP office.

<table>
<tr><th>Sr. No</th><th>Item No</th><th>Item Details</th><th>Instructions</th></tr>
<tr><td rowspan="4">1</td><td rowspan="4">1</td><td>Option for PRAN Card and Kit</td><td>In case a subscriber opts not to have a physical PRAN Card or Welcome Kit, reduced account opening charges of CRA are applicable as under:
<table><tr><th>Account opening with Physical PRAN Kit (in ₹)</th><th>Account opening with ePRAN Kit (in ₹)</th></tr><tr><td>₹ 39.36 (Excludes applicable Charges)</td><td>₹ 4.00 * (Excludes applicable taxes)</td></tr></table></td></tr>
<tr><td>Father's Name, Mother's Name</td><td>(a) If the name has more than 30 digits, fill Annexure II for the same.
(b) If the applicant is an Orphan, he/she may leave the fields blank. However, an official document to support the status to be submitted.</td></tr>
<tr><td>Politically Exposed Person</td><td>Politically Exposed Persons' (PEPs) are individuals who are or have been entrusted with prominent public functions such as heads of state or of the government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.</td></tr>
<tr><td></td><td></td></tr>
<tr><td>2</td><td>4</td><td>Proof of Identity and Address</td><td>If the applicant is submitting Aadhaar as proof of Identity and Address, the first 8 digits of the Aadhaar number should be redacted / masked on the and Address submitted copy</td></tr>
<tr><td>3</td><td>5</td><td>Bank Details</td><td>For Tier I & Tier II account, bank details and documentary proof are mandatory. Please submit a cancelled cheque / copy of bank passbook / bank statement / bank certificate / letter from Bank containing Applicant's Name, Bank Name, Bank Account Number and IFS Code.</td></tr>
<tr><td>4</td><td>6</td><td>Nomination Details</td><td>(a) If a subscriber has family at the time of making a nomination, the nomination shall be in favor of one or more persons belonging to his/her family. Any nomination made in favour of a person not belonging to family shall be invalid; A fresh nomination shall be made by the subscriber upon marriage and any nomination made before such marriage shall deemed to be invalid; If at the time of making a nomination the subscriber has no family, the nomination may be in favor of any person or persons but if the subscriber subsequently acquires a family, such nomination shall forthwith be deemed to be invalid and the subscriber shall make a fresh nomination in favour of one or more persons belonging to his family.
(b) In case of more than one nominee, the percentage share for each nominee should be in whole numbers and must be equal to 100.
(c) Please refer nomination relationship matrix provided below</td></tr>
<tr><td>5</td><td>7</td><td>Selection of Pension Fund (PF) & Investment Choice</td><td>The Asset class wise exposure limits that will now be applicable to subscribers under Tier I and Tier II are tabulated below :
<table><tr><th colspan="2">TIER - I</th></tr><tr><th>ASSET CLASS</th><th>MAX. LIMIT</th></tr><tr><td>ASSET CLASS G (GOVERNMENT SECURITIES)</td><td>100%</td></tr><tr><td>ASSET CLASS C(CORPORATE BONDS)</td><td>100%</td></tr><tr><td>ASSET CLASS E(EQUITY)</td><td>75%</td></tr><tr><td>ASSET CLASS A(ALTERNATE ASSETS)</td><td>5%</td></tr></table><table><tr><th colspan="2">TIER - II</th></tr><tr><th>ASSET CLASS</th><th>MAX. LIMIT</th></tr><tr><td>ASSET CLASS G (GOVERNMENT SECURITIES)</td><td>100%</td></tr><tr><td>ASSET CLASS C(CORPORATE BONDS)</td><td>100%</td></tr><tr><td>ASSET CLASS E(EQUITY)</td><td>100%</td></tr></table></td></tr>
<tr><td>6</td><td>8</td><td>FATCA & CRS Declaration</td><td>• Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India:
Jurisdiction(s) of Tax Residence: Since US taxes the global income of its citizen, every US citizen of what ever nationality, is also a resident for tax purpose in USA.
• Tax identification Number(TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number and resident registration number)
• In case applicant is declaring US person status as 'No' but his/her Country of Birth is US, document evidencing Relinquishment of Citizenship should be provided or reasons for not having relinquishment certificate is to be provided.
• In case applicant is declaring US person status as 'Yes', provide PAN and 'father name' in addition to details required under section 9 of form</td></tr>
<tr><td>7</td><td>9</td><td>Tier II activation</td><td>Asset Class A is not available under Tier II. In case Subscriber has selected to activate Tier-II Account with Same Bank, Nominee and Investment details that of Tier-I whereas he/she has chosen allocation in Asset Class A for Tier-I account, the applicant would be required to submit the Annexure IV for Tier-II mentioning the asset allocations</td></tr>
<tr><td>8</td><td>10</td><td>Declaration / Signature by Applicant</td><td>In case the applicant is unable to Declaration / affixed signature, Left Thumb Impression in case of male and Right Thumb Impression in case of female should be affixed and in case there is no hands, toe impression of the applicant to be provided. The thumb / toe impression should be attested by two persons, one of whom should be the authorised official of PoP attesting the same under his/her official seal and stamp</td></tr>
<tr><td>9</td><td>6</td><td>Nomination Relationship Matrix</td><td><table><tr><th colspan="13">Nomination Relationship Matrix (Please mention relationship as per details given below)</th></tr><tr><th rowspan="2">Relationship</th><th colspan="4">Male</th><th colspan="4">Female</th><th colspan="4">Transgender</th></tr><tr><th>Unmarried</th><th>Married</th><th>Widower</th><th>Divorcee</th><th>Unmarried</th><th>Married</th><th>Widower</th><th>Divorcee</th><th>Unmarried</th><th>Married</th><th>Widower/Widow</th><th>Divorcee</th></tr><tr><td>Father</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td></tr><tr><td>Mother</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td><td>Yes</td></tr><tr><td>Son</td><td></td><td>Yes</td><td>Yes</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td><td>Yes</td></tr><tr><td>Daughter</td><td></td><td>Yes</td><td>Yes</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td><td>Yes</td></tr><tr><td>Husband</td><td></td><td></td><td></td><td></td><td></td><td>Yes</td><td></td><td></td><td></td><td>Yes</td><td></td><td></td></tr><tr><td>Wife</td><td></td><td>Yes</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>Father In Law</td><td></td><td></td><td></td><td></td><td></td><td>Yes</td><td>Yes</td><td></td><td></td><td>Yes</td><td>Yes</td><td>Yes</td></tr><tr><td>Mother In Law</td><td></td><td></td><td></td><td></td><td></td><td>Yes</td><td>Yes</td><td></td><td></td><td>Yes</td><td>Yes</td><td>Yes</td></tr><tr><td>Grand Son</td><td></td><td>Yes</td><td rowspan="3">Yes (only if the Married SON is expired)</td><td>Yes</td><td></td><td>Yes</td><td rowspan="3">Yes (only if the Married SON is expired)</td><td>Yes</td><td></td><td>Yes</td><td rowspan="3">Yes (only if the Married SON is expired)</td><td>Yes</td></tr><tr><td>Grand Daughter</td><td></td><td>Yes</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td></tr><tr><td>Daughter In Law</td><td></td><td>Yes</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td></tr><tr><td>Others</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td><td>Yes</td><td></td><td>Yes</td><td>Yes</td></tr></table></td></tr>
</table>

Applicable CRA charges:	KFintech (Rs.)
Account Opening charges	₹ 39.36
Account Maintenance Charges (p.a.)	₹ 57.63
Charge per transaction	₹ 3.36

Annexures-Subscriber Registration Form for Private Sector applicants (Tick and fill applicable annexures below)

☐ Annexure I - Print PRAN Card in Hindi (Fill the details in Devnagri script)

Applicant's First Name
Middle Name
Last Name
Father/Mother's First Name
Middle Name
Last Name

☐ Annexure II - If characters of name exceeded the space provided on page 1 of the application form

Applicant's First Name
Middle Name
Last Name
Father's First Name
Middle Name
Last Name
Mother's First Name
Middle Name
Last Name

☐ Annexure III - Additional Nomination ☐ For Tier-I ☐ For Tier-II ☐ For both Tier-I & Tier-II

Percentage Share Nominee I Nominee II Nominee III Total should be equal to 100%

Nominee I
Nominee I - Name (First Middle Last)
Relationship Age Date of Birth (In case of Minor) (DD MM YYYY)
Name of Guardian (nominee is a minor) (First Middle Last)

Nominee II
Nominee II - Name (First Middle Last)
Relationship Age Date of Birth (In case of Minor) (DD MM YYYY)
Name of Guardian (nominee is a minor) (First Middle Last)

Nominee III
Nominee III - Name (First Middle Last)
Relationship Age Date of Birth (In case of Minor) (DD MM YYYY)
Name of Guardian (nominee is a minor) (First Middle Last)

☐ Annexure IV - Activate Tier-II (with Different Bank/Nomination/Investment Details - tick and fill as applicable)

PAN * Copy of PAN to be attached

☐ No change in Bank details ☐ Bank details for Tier-II are as under:

Account Type ☐ Saving A/c ☐ Current A/c
Bank A/c Number
Bank Name IFSC Code

☐ No change in Nominee details ☐ Nominee details for Tier-II are as under:

Nominee Name (First Middle Last)
Relationship Age Date of Birth (In case of Minor) (DD MM YYYY)
Name of Guardian (nominee is a minor) (First Middle Last)

In case you desire to nominate more than one person, fill Annexure III above

☐ Investment details for Tier-II are as under:

Pension Fund* (Please Tick (✓) one)

- ☐ Aditya Birla Sunlife Pension Mgmt Ltd
- ☐ Axis Pension Fund Mgmt. Ltd.
- ☐ HDFC Pension Mgmt Co Ltd
- ☐ ICICI Prudential Pension Funds Mgmt.Co. Ltd.
- ☐ Kotak Mahindra Pension Fund Ltd
- ☐ LIC Pension Fund Ltd
- ☐ MAX Life Pension Fund Mangement Ltd
- ☐ SBI Pension Funds Private Ltd
- ☐ Tata Pension Management ltd
- ☐ UTI Retirement Solutions Ltd

Investment Choice (Please Tick (✓) one)

Active Choice mention the % share in each asset class below

E (Upto 100%)	C (Upto 100%)	G (Upto 100%)	Total
% Equity	% Corp Bonds	%Govt Sec	100%

OR

☐ Auto Choice select one life cycle fund below

Conservative(LC25) ☐ Moderate (LC50) ☐ Aggressive(LC75) ☐

Name of the Applicant		Signature / Thumb Impression* of Applicant (refer instructions)
Place		
Date		