AXIS BANK

Application For Loan/ Overdraft Against Securities

Form type PPL02

Date D D M M Y Y Y Y

Fields mark with (*) mandatory

A. Loan Applicant Details (To be filled by the applicant)*

Facility Sought ☐ Loan ☐ Overdraft **Tenure** ____ Months **Security ownership** ☐ Self-owned ☐ Joint-ownership ☐ Third-party ownership

Security ☐ Shares ☐ Equity MF ☐ Debt MF ☐ FMP ☐ LI Policy ☐ Bonds ☐ Others *(Please Specify)* ____

Group Code ________________ Sales Manager Emp Code

B. Personal and Employment Details

Please tick (✓) as applicable	Applicant	Co-Applicant / Guarantor 1 / BO
Are you an existing customer. If yes, please provide Customer ID	☐ Y ☐ N	☐ Y ☐ N
Account No.		
Relation with Applicant		
Name* (Same as ID proof)		
Middle Name		
Last Name		
Maiden Name* (If any)		
Father Name*		
Middle Name		
Last Name		
Mother Name*		
Middle Name		
Last Name		
Constitution	☐ Resident Indian ☐ Non-Resident Indian ☐ Foreign Nationals ☐ Overseas Citizen of India ☐ Person of indian Origin	☐ Resident Indian ☐ Non-Resident Indian ☐ Foreign Nationals ☐ Overseas Citizen of India ☐ Person of indian Origin
PAN CARD*/ GIR NO.		
Do you have a relationship with any politically exposed person/s?	☐ Y ☐ N	☐ Y ☐ N
*UID Aadhar No.		
DIN NO.*		
Udyog Aadhar No.		
Date of Birth (DD/MM/YYYY)* and Gender	D D M M Y Y Y Y ☐ Male ☐ Female ☐ Trans Gender	D D M M Y Y Y Y ☐ Male ☐ Female ☐ Trans Gender
CKYC Number		
Nationality	☐ Indian ☐ Others______________	☐ Indian ☐ Others______________
Registration No.*		
Date of Establishment/Commencement:	D D M M Y Y Y Y	D D M M Y Y Y Y
Category	☐ SC ☐ ST ☐ OBC ☐ General	☐ SC ☐ ST ☐ OBC ☐ General
Religion	☐ Hindu ☐ Muslim ☐ Christian ☐ Sikh ☐ Jain ☐ Buddhist ☐ Parsi ☐ Others _______	☐ Hindu ☐ Muslim ☐ Christian ☐ Sikh ☐ Jain ☐ Buddhist ☐ Parsi ☐ Others _______
Source of Fund*	☐ Salaried ☐ Investment ☐ Professional Fees ☐ Business Earnings ☐ Commission ☐ Agriculture	☐ Salaried ☐ Investment ☐ Professional Fees ☐ Business Earnings ☐ Commission ☐ Agriculture
Person with disability	☐ Yes ☐ No	☐ Yes ☐ No
Marital Status	☐ Married ☐ Unmarried ☐ Other ___________	☐ Married ☐ Unmarried ☐ Other ___________
Education	☐ Matriculate ☐ Undergraduate ☐ Graduate ☐ Postgraduate ☐ Professional ☐ Others________________	☐ Matriculate ☐ Undergraduate ☐ Graduate ☐ Postgraduate ☐ Professional ☐ Others________________
Occupation Type*	☐ Salaried ☐ Self Employed ☐ Unemployed ☐ Retired ☐ Housewife ☐ Student ☐ Self Employed ☐ Politician	☐ Salaried ☐ Self Employed ☐ Unemployed ☐ Retired ☐ Housewife ☐ Student ☐ Self Employed ☐ Politician
If Salaried, Type of Organization* (tick the relevant option)	☐ Pvt Ltd ☐ Public Ltd ☐ Proprietorship ☐ Partnership firm ☐ Public Sector ☐ Government ☐ Multinational ☐ Trust/Association/Society/Club Nature of Employment ________________________________ (As per the type of oranization selectd above, mention the details of profession example: Director/Banker/Agent)	☐ Pvt Ltd ☐ Public Ltd ☐ Proprietorship ☐ Partnership firm ☐ Public Sector ☐ Government ☐ Multinational ☐ Trust/Association/Society/Club Nature of Employment ________________________________ (As per the type of oranization selectd above, mention the details of profession example: Director/Banker/Agent)
If Self Employed, Nature of Business* (tick the relevant option)	☐ Information Technology ☐ Professional Service Provider ☐ Agriculture ☐ Real Estate ☐ Bullion /Gold Jewellery ☐ Stock Broker ☐ Trader ☐ Money Lender *No. of Years in Business 0 0 Description of Business .... (As per the Nature of Business selected above, mention the details of Business example: Tour Operators/Trading of food products)	☐ Information Technology ☐ Professional Service Provider ☐ Agriculture ☐ Real Estate ☐ Bullion /Gold Jewellery ☐ Stock Broker ☐ Trader ☐ Money Lender *No. of Years in Business 0 0 Description of Business .... (As per the Nature of Business selected above, mention the details of Business example: Tour Operators/Trading of food products)

1. Certified copy of OVD or Equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

Passport No.*		
Passport Expiry date*	D D M M Y Y Y Y	D D M M Y Y Y Y
Voter ID Card No.*		
Driving License No.*		
Driving Expiry date*	D D M M Y Y Y Y	D D M M Y Y Y Y
NREGA Job card		
National Population Register Letter		
Proof of possession of Aadhaar		
E-KYC Authentication		
Offline verification of Aadhaar		

RA/LAS/App. Form/ 24 Feb 24/Version 1.1

Corporate Identification Number (CIN)		
Annual Income* (Only Absolute and numeric value to be filled)		Annual Income* (Only Absolute and numeric value to be filled)
For Non-Individual (In case Applicable) Constitution (tick the relevant option)	☐ Hindu Undivided Family ☐ Partnership Firm ☐ Sole Proprietorship ☐ Private Ltd Companies ☐ Public Ltd Companies ☐ Trust ☐ Limited Liability Partnership ☐ Other Financial Institutions	☐ Hindu Undivided Family ☐ Partnership Firm ☐ Sole Proprietorship ☐ Private Ltd Companies ☐ Public Ltd Companies ☐ Trust ☐ Limited Liability Partnership ☐ Other Financial Institutions
Occupation/Nature Of Business* (tick the relevant option)	☐ Manufacturing ☐ Service Provider ☐ Stock broker ☐ Real estate ☐ Trading (Retail/Wholesale ☐ Transport ☐ Education ☐ Bullion	☐ Manufacturing ☐ Service Provider ☐ Stock broker ☐ Real estate ☐ Trading (Retail/Wholesale ☐ Transport ☐ Education ☐ Bullion
Description of Business (As per the Nature of Business selected above, mention the details of Business example: Tour Operators/Trading of food products)		
Annual Turnover* (Only Absolute and numeric value to be filled)		
No. of Years in Business:		
Source of Fund* (tick the relevant Option)	☐ Business Income ☐ Equity Investment ☐ Donation Grant ☐ From Group Company Customer Signature with stamp____________	☐ Business Income ☐ Equity Investment ☐ Donation Grant ☐ From Group Company Customer Signature with stamp____________
Source of wealth*: (For individual applicant/ co applicant who is a PEP)	☐ Inherited Funds ☐ Property ☐ Investment ☐ NIL ☐ Others (pl. specify) ___________ Wealth (In absolute Fig):________________________	☐ Inherited Funds ☐ Property ☐ Investment ☐ NIL ☐ Others (pl. specify) ___________ Wealth (In absolute Fig):________________________
E-mail Address*		
Date of Incorporation*:	D D M M Y Y Y Y (Please mention the year since applicant is in the activity in case of individuals)	D D M M Y Y Y Y (Please mention the year since applicant is in the activity in case of individuals)
Place Of Incorporation*:		
Country of Incorporation*:		
Proof of Identity	☐ Officially valid document(s) in respect of person authorized to transact ☐ Certificate of Incorpotation/Formation___________ ☐ Registration certificate________ ☐ Memorandum and Articles of Association ☐ Trust Deed ☐ Resolution of Board / Managing Committee ☐ Power of attorney granted to its manager, officers or employees to transact on its behalf ☐ Activity Proof - 1 (For Sole Proprietorship Only) ☐ Activity Proof - 2 (For Sole Proprietorship Only) ☐ Partnership deed	☐ Officially valid document(s) in respect of person authorized to transact ☐ Certificate of Incorpotation/Formation___________ ☐ Registration certificate________ ☐ Memorandum and Articles of Association ☐ Trust Deed ☐ Resolution of Board / Managing Committee ☐ Power of attorney granted to its manager, officers or employees to transact on its behalf ☐ Activity Proof - 1 (For Sole Proprietorship Only) ☐ Activity Proof - 2 (For Sole Proprietorship Only) ☐ Partnership deed
Related Person Type	Number of related persons ☐ Promoter ☐ Proprietor ☐ Partner ☐ Director ☐ Karta ☐ Trustee ☐ Court Appointment Offcial ☐ Beneficial Owner ☐ Personal Guarantor ☐ Beneficiary ☐ Authorized Signatory ☐ Power of Attorney Holder ☐ Other (Please Specify)	Number of related persons ☐ Promoter ☐ Proprietor ☐ Partner ☐ Director ☐ Karta ☐ Trustee ☐ Court Appointment Offcial ☐ Beneficial Owner ☐ Personal Guarantor ☐ Beneficiary ☐ Authorized Signatory ☐ Power of Attorney Holder ☐ Other (Please Specify)
Related person Name	PREFIX FIRST MIDDLE LAST	PREFIX FIRST MIDDLE LAST
Phone Details (STD Code - Tel. Res.)		
Mobile Number*		
Corpotate Office Address / Residence Address (Present Address) / Principle Address* Mailing Address ☐	PIN* City* Districts State Country*	PIN* City* Districts State Country*
Nearest Landmark (Present address)		
Proof Of Adderss	☐ Certificate of incorporation / formation ☐ Registration certificate ☐ other document (pls specify) ____________________	☐ Certificate of incorporation / formation ☐ Registration certificate ☐ other document (pls specify) ____________________
Registered Office Address / Residence Address (Permanant Address) / Principle Address* Mailing Address ☐	PIN* City* Districts State Country*	PIN* City* Districts State Country*
Nearest Landmark (Present address)		
Address (Office)* Address Office / Principle Address Mailing Address ☐	PIN* City* Districts State Country*	PIN* City* Districts State Country*

GSTIN DETAILS* Whether registered under GST (If yes, following details are mandatory)	Yes ☐ No ☐ GST Exemption ☐ Yes ☐ No Exemption Reason (if yes) ____________________________ Exemption Valid till (if yes) D D M M Y Y Y Y	☐ Yes ☐ No ☐ GST Exemption ☐ Yes ☐ No Exemption Reason (if yes) ____________________________ Exemption Valid till (if yes) D D M M Y Y Y Y
GST Registration GST Annexure for multiple GST Registration*	☐ Single ☐ *Multiple Special Economic Zone Y N Special economic zone code (if Y) __________________	☐ Single ☐ Multiple* Special Economic Zone Y N Special economic zone code (if Y) __________________
GSTIN (Default)		
GSTIN Registration Date	D D M M Y Y Y Y	D D M M Y Y Y Y
Address registered for GSTIN	☐ Same as present address ☐ Same as permanent address ☐ Same as office address ☐ Others (use GST annexure)	☐ Same as present address ☐ Same as permanent address ☐ Same as office address ☐ Others (use GST annexure)

Additional details required for NRI Applicant

Country Name	Country Code
If applicant resident for tax purposes in Jurisdiction outside India ☐ Yes ☐ No	Jurisdiction of residence:
Tax Identification Number or equivalent (If issued by jurisdiction)	
Country of Birth	City/Place of Birth
If address in jurisdiction where application is resident is same as Current/ Permanent/ Overseas or Correspondence/ Local address details ☐ Yes ☐ No	
Address in Jurisdiction	City / Town / Village
State	Country: ZIP/Post Code

Please tick (✓) as applicable	Co-Applicant / Guarantor 2 / BO	Co-Applicant / Guarantor 3 / BO
Are you an existing customer. If yes, please provide Customer ID	☐ Y ☐ N	☐ Y ☐ N
Account No.		
Relation with Applicant		
Name* (Same as ID)		
Middle Name		
Last Name		
Maiden Name* (If any)		
Father Name*		
Middle Name		
Last Name		
Mother Name*		
Middle Name		
Last Name		
Status	☐ Resident ☐ Non-Resident ☐ Foreign National ☐ Person of Indian Origin	☐ Resident ☐ Non-Resident ☐ Foreign National ☐ Person of Indian Origin
PAN Card*		
Do you have a relationship with any* politically exposed person/s?	☐ Y ☐ N	☐ Y ☐ N
UID Aadhar No.*		
DIN NO.*		
Date of Birth (DD/MM/YYYY)* and Gender	D D M M Y Y Y Y ☐ Male ☐ Female ☐ Trans Gender	D D M M Y Y Y Y ☐ Male ☐ Female ☐ Trans Gender
CKYC Number		
Nationality and Community		
Category	☐ SC ☐ ST ☐ OBC ☐ General	☐ SC ☐ ST ☐ OBC ☐ General
Religion	☐ Hindu ☐ Muslim ☐ Christian ☐ Sikh ☐ Jain ☐ Buddhist ☐ Parsi ☐ Others_______	☐ Hindu ☐ Muslim ☐ Christian ☐ Sikh ☐ Jain ☐ Buddhist ☐ Parsi ☐ Others_______
Person with disability	☐ Yes ☐ No	☐ Yes ☐ No
Marital Status and Number of Dependant	☐ Married ☐ Single ☐ Other _____ No. of Dependents	☐ Married ☐ Single ☐ Other _____ No. of Dependents
Father / Spouse's Name		
E-mail Address*		
Phone Details (STD Code - Tel. Res.)		
Mobile Number*		
Source of Fund*	☐ Salaried ☐ Investment ☐ Professional Fees ☐ Business Earnings ☐ Commission ☐ Agriculture	☐ Salaried ☐ Investment ☐ Professional Fees ☐ Business Earnings ☐ Commission ☐ Agriculture
Education	☐ Matriculate ☐ Undergraduate ☐ Graduate ☐ Postgraduate ☐ Professional ☐ Others_______________	☐ Matriculate ☐ Undergraduate ☐ Graduate ☐ Postgraduate ☐ Professional ☐ Others_______________
Occupation Type*	☐ Salaried ☐ Self Employed ☐ Unemployed ☐ Retired ☐ Housewife ☐ Student ☐ Self Employed ☐ Politician	☐ Salaried ☐ Self Employed ☐ Unemployed ☐ Retired ☐ Housewife ☐ Student ☐ Self Employed ☐ Politician
If Salaried, Type of Organization* **(tick the relevant option)**	☐ Pvt Ltd ☐ Public Ltd ☐ Proprietorship ☐ Partnership firm ☐ Public Sector ☐ Government ☐ Multinational ☐ Trust/Association/Society/Club Nature of Employment ________________________________ *(As per the type of oranization selectd above, mention the details of profession example: Director/Banker/Agent)*	☐ Pvt Ltd ☐ Public Ltd ☐ Proprietorship ☐ Partnership firm ☐ Public Sector ☐ Government ☐ Multinational ☐ Trust/Association/Society/Club Nature of Employment ________________________________ *(As per the type of oranization selectd above, mention the details of profession example: Director/Banker/Agent)*

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Corporate Identification Number (CIN)		
Annual Income* (Only Absolute and numeric value to be filled)		*Annual Income (Only Absolute and numeric value to be filled)
Source of wealth*: (For individual applicant/ co applicant who is a PEP)	☐ Inherited Funds ☐ Property ☐ Investment ☐ NIL ☐ Others (pl. specify) ___________ **Wealth (In absolute Fig):**___________	☐ Inherited Funds ☐ Property ☐ Investment ☐ NIL ☐ Others (pl. specify) ___________ **Wealth (In absolute Fig):**___________
For Non-Individual (In case Applicable) Constitution (tick the relevant option)	☐ Hindu Undivided Family ☐ Sole Proprietorship ☐ Partnership Firm ☐ Private Ltd Companies ☐ Public Ltd Companies ☐ Trust ☐ Limited Liability Partnership ☐ Other Financial Institutions	☐ Hindu Undivided Family ☐ Sole Proprietorship ☐ Partnership Firm ☐ Private Ltd Companies ☐ Public Ltd Companies ☐ Trust ☐ Limited Liability Partnership ☐ Other Financial Institutions
Occupation/Nature Of Business (tick the relevant option)	☐ Manufacturing ☐ Service Provider ☐ Stock broker ☐ Real estate ☐ Trading (Retail/Wholesale ☐ Transport ☐ Education ☐ Bullion	☐ Manufacturing ☐ Service Provider ☐ Stock broker ☐ Real estate ☐ Trading (Retail/Wholesale ☐ Transport ☐ Education ☐ Bullion
Description of Business (As per the Nature of Business selected above, mention the details of Business example: Tour Operators/Trading of food products)		
Annual Turnover*(Only Absolute and numeric value to be filled)		
No. of Years in Business:		
Source of Fund*(tick the relevant Option)	☐ Business Income ☐ Equity Investment ☐ Donation Grant ☐ From Group Company Customer Signature with stamp____________	☐ Business Income ☐ Equity Investment ☐ Donation Grant ☐ From Group Company Customer Signature with stamp____________
Date of Incorporation :	D D M M Y Y Y Y (Please mention the year since applicant is in the activity in case of individuals)	D D M M Y Y Y Y (Please mention the year since applicant is in the activity in case of individuals)
Place Of Incorporation*:		
Country of Incorporation*:		
Proof of Identity	☐ Officially valid document(s) in respect of person authorized to transact ☐ Certificate of Incorpotation/Formation___________ ☐ Registration certificate________ ☐ Memorandum and Articles of Association ☐ Trust Deed ☐ Resolution of Board / Managing Committee ☐ Power of attorney granted to its manager, officers or employees to transact on its behalf ☐ Activity Proof - 1 (For Sole Proprietorship Only) ☐ Activity Proof - 2 (For Sole Proprietorship Only) ☐ Partnership deed	☐ Officially valid document(s) in respect of person authorized to transact ☐ Certificate of Incorpotation/Formation___________ ☐ Registration certificate________ ☐ Memorandum and Articles of Association ☐ Trust Deed ☐ Resolution of Board / Managing Committee ☐ Power of attorney granted to its manager,officers or employees to transact on its behalf ☐ Activity Proof - 1 (For Sole Proprietorship Only) ☐ Activity Proof - 2 (For Sole Proprietorship Only) ☐ Partnership deed
Related Person Type	☐ Number of related persons ☐ Promoter ☐ Proprietor ☐ Partner ☐ Director ☐ Karta ☐ Trustee ☐ Court Appointment Offcial ☐ Beneficial Owner ☐ Personal Guarantor ☐ Beneficiary ☐ Authorized Signatory ☐ Power of Attorney Holder ☐ Other (Please Specify)	☐ Number of related persons ☐ Promoter ☐ Proprietor ☐ Partner ☐ Director ☐ Karta ☐ Trustee ☐ Court Appointment Offcial ☐ Beneficial Owner ☐ Personal Guarantor ☐ Beneficiary ☐ Authorized Signatory ☐ Power of Attorney Holder ☐ Other (Please Specify)
Related person Name	PREFIX FIRST MIDDLE LAST	PREFIX FIRST MIDDLE LAST
Phone Details (STD Code - Tel. Res.)		
Corpotate Office Address / Residence Address (Present Address) / Principle Address* Mailing Address ☐	PIN* City* Districts State Country*	PIN* City* Districts State Country*

Nearest Landmark (Present address)		
No. of Months in the current residence	Years Months	Years Months
No. of Months in the city	Years Months	Years Months
Registered Office Address / Residence Address (Permanant Address) / Principle Assress* Mailing Address ☐	PIN* City* Districts State Country*	PIN* City* Districts State Country*
Nearest Landmark		
GSTIN DETAILS* Whether registered under GST (If yes, following details are mandatory)	☐ Yes ☐ No ☐ GST Exemption ☐ Yes ☐ No Exemption Reason (if yes) ____________________________ Exemption Valid till (if yes) D D M M Y Y Y Y	☐ Yes ☐ No ☐ GST Exemption ☐ Yes ☐ No Exemption Reason (if yes) ____________________________ Exemption Valid till (if yes)
GST Registration *GST Annexure for multiple GST Registration GSTIN (Default) GSTIN Registration Date Address registered for GSTIN	☐ Single ☐ *Multiple Special Economic Zone Y N Special economic zone code (if Y) _________________ D D M M Y Y Y Y ☐ Same as present address ☐ Same as permanent address ☐ Same as office address ☐ Others (use GST annexure)	☐ Single ☐ *Multiple Special Economic Zone Special economic zone code (if Y) _________________ D D M M Y Y Y Y ☐ Same as present address ☐ Same as permanent address ☐ Same as office address ☐ Others (use GST annexure)

Additional details required for NRI Applicant	
Country Name	Country Code
If applicant resident for tax purposes in Jurisdiction outside India ☐ Yes ☐ No	Jurisdiction of residence:
Tax Identification Number or equivalent (If issued by jurisdiction)	
Country of Birth	City/Place of Birth
If address in jurisdiction where application is resident is same as Current/ Permanent/ Overseas or Correspondence/ Local address details ☐ Yes ☐ No	
Address in Jurisdiction	City / Town / Village
State	Country: ZIP/Post Code

C. Financial Details of The Applicant (To be filled by the Applicant)

For Salaried (Monthly in Rupees)	Gross sal.	Net sal.	Other inc.	Total:
For Self Employed: (Annually in Rupees) :	Gross sal.	Net sal.	Other inc.	Total:
Investment Details:	Shares:	Mutual funds	Debt funds	Bonds
Life Insurance:	Term Deposits	Others____________________		
Total Investment				

This form is processed automated system. Please ensure that all mandatory fields have been filled correctly else the form is liable to be rejected

Details of borrowings against shares/Securities availed from other Bank/Financial institutions

Sr.	Name of the Bank / Financial Institution	Sanction limit (in ₹)
1.		
2.		
3.		
4.		

D. Purpose of Loan

☐ Domestic Purpose ☐ Acquisition of Property ☐ Purchase of Equipment ☐ Working Capital ☐ Renovation of current business premises

☐ Education ☐ Home Renovation ☐ Marriage ☐ Personal ☐ Business ☐ Other_____________

E. Existing Banking Relationship

1. Account with other Banks: Bank | Branch Address
   Account Type | Account Number

2. Credit Facilities: ☐ We declare that we do not enjoy credit facilities with any bank ☐ We declare that we enjoy following credit facilities with other bank (s) :

Details of Borrowal Accounts:

I. Working Capital Facilities Currently Enjoyed: (From the entire banking system) As on ________________________(₹ In Lakhs)

Bank & Branch
(Enclose Copy of Sanction Letters)
Fund Based Limits | O/S | Total Limits

II. Term Loan and other borrowings

Bank & Branch *(Enclose Copy of Sanction Letters)* Loan Amt | Current Oustanding *(in ₹ Lakhs)*

Purpose | Monthly Instalments | Rate of Interest | Tenure of Loan | Balance Months

III. Facilities Enjoyed with Axis Bank:

Facility *(Enclose Copy of Sanction Letters)* Loan | DP *(in ₹ lakhs)*

Security | Current Outstanding | Rate of Interest | Irreg. | Balance Months

Details of Suits filed, If any, by / against the applicant

Date of Suit | Type of Suit | Court in which suit has been filed

Amount (₹ in Lacs) | Financing agency | Position of suit in brief

F. Reference Details (One reference has to be a non - relative / non - colleague)

	Reference I	Reference II
Name		
Relationship with Applicant/Co-Applicant		
Address Mailing Address	PIN City State Country	PIN City State Country
Mobile No./Telephone		
E-mail ID		

G. Customer consent

Axis Bank & its group companies regularly send information on endeavor to inform you about our latest products, services & promotional offers. The channels for sending the information include mailers, e-mails, SMS and / or calling you personally over phone.

I hereby give my consent to and agree and authorize Axis Bank Ltd. to fetch my personal details from UIDAI. I hereby state that I have no objection in authenticating myself with Aadhaar based authentication system and I voluntarily consent to providing my Aadhaar number / VID number, Biometric information and/or One Time Pin(OTP) data (and/or any similar authentication data) for the purpose of [Product name] loan application. I understand that the biometric and/or TP and/or any other authentication data I may provide for authentication shall be used only for authenticating my identity through the Aadhaar authentication system for the specific transaction or as per requirement of law and for no other purposes. I confirm that | have been informed about the alternatives to submission of identity information and I have agreed to authenticate myself through Aadhaar based authentication system with full understanding of alternatives to submission of identity information. I understand that Axis Bank shall ensure security and confidentiality of my personal identity data provided for the purpose of Aadhaar based authentication. I authorize Axis Bank to verify and authenticate my Aadhaar during processing my Loan Overdraft against securities further authorize the Bank to share my Aadhaar related details/information with regulatory /statutory bodies as and when required. I hereby expressly consent to and authorize the Bank (whether acting by itself or through any of its service providers, and whether in automated manner or otherwise), to collect, store and process my application details, personal data and sensitive information about me, information, papers and data relating to know your customer (KYC), credit information, and any other information about me/pertaining to me or not as may be deemed relevant by the Bank (collectively. "Information") and I hereby also expressly consent to and authorize the Bank to download KYC details from the CKYC registry using my CKYC ID for the purpose of Loan Overdraft against securities application.

I expressly consent Bank to share and disclose the Information to service providers, consultants, credit information companies, information utilities, other banks and financial institutions, affiliates, group companies, subsidiaries, regulators, investigating agencies, judicial, quasi-judicial and statutory authorities, or to other persons/ institutions/entities as may be necessary in connection with the contractual or legal requirements or in the legitimate interests of the Bank or as per the consent, I undertake to process information including by way of storing, structuring, organizing, reproducing, copying, using, etc. as may be deemed fit by the Bank and for the purposes of credit appraisal, fraud detection, anti-money laundering obligations, for entering into contract, for developing credit scoring models and business strategies, for monitoring. for evaluating and improving the quality of services and products, or for any purposes as the Bank may deem fit.

Consent

If you are happy to provide your consent, please tick the appropriate boxes below: I consent to the processing of my sensitive personal data for the purposes of:

- Developing and carry out sourcing activities through LAS account opening channels; ☐
- Developing, marketing, for cross selling and communicating their products and services to me; ☐
- Data analytics on my personal data to understand usage of products and services and creating opportunities to offer better products and services; ☐
- Processing data as the Bank's Cookie Policy over Axis Bank's digital channels relating to behavioral pattern and develop relevant products. ☐

I consent to Axis Bank and/or its affiliates/subsidiaries/ to send marketing communications in respect of its various products and services from time to time by:

- Email Y N
- SMS text messages Y N
- Telephone Y N

Signature of Primary Applicant

Data collection form to be updated to include privacy notice link.
Language for Axis bank privacy notice :-
"Where can you find more information about our processing of your personal data?
You can find out more about how we process your personal data, including the types of personal data we process and who we share it with, by reading our Customer Privacy Notice available online at https://www.axisbank.com/privacy-policy"

Applicant Signature | Co-Applicant Signature | Co-Applicant Signature

H. Details of the Securities to be Pledged (to be filled by the Applicant)

Loan/Overdraft against shares is the demat account held with Axis Bank? Y N

If no, please specify the Bank where Demat account is held

Demate A/c No.

Details of the security sought to be pledged:

Sr.	Particulars of the Security	Identification / Folio no. / Demat Account No. of the Security*	Quantity of Shares/ Mutual Fund	Face value/Surrender value of the Security*
1				
2				
3				
4				
5				

**Please specify the surrender value in case of Insurance Policies*

Mode of payment of processing fees ☐ To be collected up-front Amount of processing fees

Cheque Number Dated D D M M Y Y Y Y Drawn on Bank and Branch

Mode of operation in the overdraft account ☐ Self ☐ Jointly ☐ Anyone/Survivor ☐ As authorised by Board Resolution *(In case of companies)* ☐ Others *(Specify if others)*

I. Specific customer disclosure in respective of relationship with Director/Senior Officer of the Bank/any other Bank

Customer Declaration in respect of relationship with Director/Senior Officer of the Bank/any other bank		
I/We am/are director(s) of Axis Bank Limited and also a director(s) / partner(s), manager(s), managing agent (s), employee (s), or guarantor(s) or holder(s) of substantial interest of the borrower or its subsidiary or its holding company.	☐ Yes	☐ No
I/We am/are director(s) of any other bank or the subsidiaries of any of the banks or trustees of mutual funds / venture capital funds set up by the banks and also a director(s) / partner(s), manager(s), managing agent(s), employee(s) or guarantor(s) or holder(s) of substantial interest of the borrower.	☐ Yes	☐ No
I/We am/are the relative(s) of the director(s) of Axis Bank Limited or any other Bank, as defined by extant guidelines of RBI from time to time, and also a director(s) / partner(s) or guarantor(s) or major shareholder(s) or in control of the borrower or a major shareholder(s) or in control of the holding or subsidiary company of the borrower.	☐ Yes	☐ No
I/We am/are senior official(s) of the Bank or relative of the senior official of the Bank , as defined by extant guidelines of RBI from time to time, and also a director(s) / partner(s), or guarantor(s) or holder(s) of substantial interest of the borrower.	☐ Yes	☐ No

If any of the above clause is applicable, then please furnish the details. In case if any of the above stated declarations are breached during the tenor of the facility, the borrower shall inform the bank immediately. In case of non-compliance with the undertaking or giving wrong undertaking in relation to the provisions Connected Lending/Section 20 of the BR Act, at any time during the currency of loan, the Bank reserves the right to recall the loan immediately

The Applicant/Co-applicant(s) declare(s) that the Applicant/Co-applicant(s) is/are related to the director(s) and/or Senior Officer(s) of the Bank or of any other Banks as specified hereto.

Sr. No.	Name of Director(s)/Senior Officer(s)	Designation	Relationship
1			
2			
3			

Signature of the applicant | Signature of the co-applicant Guarantor - 1 | Signature of the co-applicant Guarantor - 2 | Signature of the co-applicant Guarantor - 3

J. Loan Required Details

Amount Request ₹ ☐☐☐☐☐☐☐☐ Tenure ☐☐☐ Months Expected Rate of Interest ☐☐ %

K. Co- Applicant / Guarantor Details

Whether any Co-Applicant ☐ Yes ☐ No If Yes, No. of Co-Applicant ☐☐

Is there any Guarantor ☐ Yes ☐ No If Yes, No. of Guarantor ☐☐

L. Channel Facilities

1. Axis Bank Internet Banking Required ☐ Yes ☐ No 2. Cheque Book Required ☐ Yes ☐ No

Signature of the applicant	Signature of the co-applicant Guarantor - 1	Signature of the co-applicant Guarantor - 2	Signature of the co-applicant Guarantor - 3

M. Customer Declarataion

I/We declare that the particulars and information given in the application form are true, correct, complete and up to date in all respects and I/we have not withheld any information. I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/we may be held liable for it. I/We confirm that I/We have no insolvency proceeding initiated against me/us nor have I/We ever been adjudicated insolvent. I/We have read the application form and am/are aware of all terms and conditions of availing finance from Axis Bank. I/We authorize Axis Bank to make references and inquiries relative to information in this application which the bank considers necessary. I/We authorize the bank to exchange, part/share with all information relating to my/our loan details and repayment history to other banks/financial institutions etc as may be required and shall not hold the bank liable for use of this information. I/We undertake to inform the bank regarding change in my residence/employment and to provide any further information that the bank may require. I/We agree that my/our loan shall be governed by the rules of the Bank which may be in force from time to time. I/We understand that the Bank has the right to reject my/our application without providing any reason thereof.

The Borrower and Guarantor(s) shall be deemed to have given their express consent to the Bank to disclose the information and data furnished by them to the Bank and also those regarding the credit facility/ies enjoyed by the borrower, conduct of accounts and guarantee obligations undertaken by guarantor **to the Credit Information Companies (CIC's)** or RBI or any other agencies specified by RBI who are authorised to seek and publish information. The borrower(s)/guarantor(s) further agree(s) that they shall execute such additional documents as may be necessary for the purpose. I/We confirm that I/We have received a copy of the "Code of Bank's commitment to Customers". I/We have been explained the content of the same and also understand that it is available online at the Bank's website, "www.axisbank.com".

"Axis Bank Ltd. reserves the right to retain the photographs and documents submitted with this application and will not return the same to the applicant"

I/We authorize Axis Bank to verify and authenticate my/our Aadhaar number during processing my/our application for legitimate Business purposes.

I/we authorize Axis Bank to Verify/Authenticate my/our KYC OVDs/Aadhaar number/loan documents during processing my/our loan application through third party agencies via digitally/physically for legitimate business purpose.

I/We undertake to inform the Bank in case of any update in the information provided or documents submitted by me/us for the grant of facility/Loan by the Bank at the time of establishment of business relationship / account-based relationship and thereafter, as necessary; I/we shall submit to the Bank the update of such information/documents. I/We agree to do the aforementioned within 30 days of the update to any such information/documents for the purpose of updating the records at the Banks' end.

I/We further authorise the Bank to share my Aadhaar related details/information with regulatory / statutory bodies as and when required.

My personal / KYC details may be shared with Central KYC Registry

- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address"

I/We also confirm that I have been explained the following

1. Axis Bank will convey its decision within 30 working days from the date of receipt of the application provided the application is complete in all respects and is submitted along with all the documents as per 'check list' provided in the application for loan and/or any additional documents as may be required by the bank for proper appraisal of the application. The computation of 30 days shall starts from the day on which all documents required for a proper appraisal of the application are provided by the Customer to bank.
2. The bank may at its sole discretion sanction or decline the application. The bank shall convey, the reasons, which in its opinion after due consideration, have led to rejection of the application.
3. The bank will decide and assign the loan limit and no commitment has been given to me/us for the same.
4. The DSA / DST has not collected any commission / brokerage or any other fee by way of cash or cheque other than the cheque no. __________ towards ___________ in favour of Axis Bank Ltd.
5. Processing fees of ₹ _________ will not be refunded in case of rejection / withdrawal of the case.

Dated : D D M M Y Y Y Y

Place ☐☐☐☐☐☐☐☐☐☐☐

Applicant Photgoraph	Co-Applicant / Guarantor - 1 Photograph
Applicant Signature	Co-Applicant/Guarantor-1 Signature
Co-Applicant / Guarantor - 2 Photograph	Co-Applicant / Guarantor - 3 Photograph
Co-Applicant/Guarantor-2 Signature	Co-Applicant/Guarantor-3 Signature
Applicant Signature	

N. Sourcing Details (For Official use only)

ASC Name ☐☐

Channel ☐ DSA ☐ ASSL ☐ Cross - Sell ☐ Branch ☐ Direct ☐ Others ________ Connector ☐ ASSL Code ☐

AXIS Bank Relationship Manager ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

CRM Lead ID ☐☐☐☐☐☐☐☐

Signature of Relationship Manager	Signature of Sourcing Agent

Legal Entity Identitier Declaration (Applicable For Non Indicicluals Only)

Name of borrower: ____________________

I/we confirm that the total banking exposure of our firm/company after availing the proposed loan / credit facility is above Rs. 10 Crore. The Legal Entity identifier (LEI) is applicable to our firm / company and the details of the same are as under:

1) LEI No.:

2) LEI Issuer.:

3) LEI Issuance Date.: (DDMMYYYY)

4) LEI Expiry Date.: (DDMMYYYY)

☐ I/we confirm that the total banking exposure of our firm/company after availing the proposed loan / credit facility is between Rs.5 Crore. To Rs.10 Crore. We will endeavour to obtain for the LEI at the earliest and agree to provide the LEI details to Axis Bank once we obtain the same.

☐ I/we confirm that the total banking exposure of our firm/company after availing the proposed loan / credit facility is below Rs.5 Crore. The Legal Entity identifier (LEI) is not applicable to us.

☐ I/we confirm that if total banking exposure of our firm/company goes beyond Rs. 5 Crore during the tenure of the loan/credit facility, we will endeavour to obtain the LEI at the earliest and agree to provide the LEI details to Axis Bank once we obtain the same.

I/we declare that the particulars and information given above are true, correct and up to date in all aspects.

____

Signature

O. For Bank Use Only

Documents Received: ☐ Self-Certified True Copies ☐ True Copies ☐ Notary

KYC OVD: ☐ Digitally Verified ☐ Manually Verified Digital Verification Ref no ____________________

Identity Verification Done :

IN PERSON VERIFICATION CARRIED OUT BY

Emp. Name

Emp. Code Emp. Designation Emp. Organisation & Code

IN PERSON VERIFICATION CARRIED OUT BY

Emp. Name

Emp. Code Emp. Branch Place

Nomination

☐ I wish to nominate ☐ I do not wish to nominate

I/We (Name) ____________________ (Address) ____________________

Nominate the following person to whom in the event of my/our/minor's death the amount of deposit in the above account may be returned by AXIS BANK LTD.

Name Address: ☐ Same as Primary Applicant

☐ If different from Primary Applicant

Relationship , If any Age Years If nominee is Minor, Date of Birth D D M M Y Y Y Y

As nominee is minor I/We appoint (name) Relationship with minor

Address: ☐ Same as Primary Applicant ☐ If different

Signature of the applicant	Signature of the co-applicant Guarantor - 1	Signature of the co-applicant Guarantor - 2	Signature of the co-applicant Guarantor - 3

FATCA- CRS DECLARATION

(Please tick the applicable tax resident declaration (Any one)*

[ ] I am a tax resident of India and not resident of any other country OR [ ] I am a tax resident of the country/ies mentioned in the table below:
Please indicate the county/ies in which the entity is a resident for tax purposes and the associated Tax Number below:

City of Birth* Country of Birth*

Address Type for Tax Purposes* [ ] Resident [ ] Business [ ] Registered office

Country#	Tax Identification Number %	Identification Type (TIN or Other, please specify)%	Address for Tax Purpose*		
			Communication Address	Permanent Address	Please note the address below
			Landmark ___________________		
			PIN[ ][ ][ ][ ][ ][ ] State ______________ Country ______________		

#To also include USA, where the individual is citizen/green card holder of USA % In case Tax Identification number is not available, kindly provide functional equivalent
FATCA-CRS Certification: I have understood the information requirements of this form (read along with the FATCA/CRS instructions and Terms & Conditions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete and hereby accept the same.

Signature ___________________________________

(Filling of all the fields is mandatory and No. field should be left Blank. User should either provide details or should mention NA to avoid any data fudging in blank spaces)

DETAILS OF CHARGES*

1	Processing Fee Charges	Upto 0.50% of sanctioned loan amount or Rs 2000/- whichever is higher plus GST
2	Penal Charges	Financial Default 8% p.a. above applicable interest rate on the overdue amount (subject to the aggregate not exceeding Rs. 1,00,000/- per instance)
3	ATM Issuance Charges	________plus GST as applicable
4	ATM Annual Maintainance Charges	________plus GST as applicable
5	Repayment Instruction/Instrument Return charges	________plus GST as applicable per instance
6	Cash Deposit Charges (Home Branch)	________plus GST as applicable
7	Cash Deposit Charges (Non Home Branch)	________plus GST as applicable
8	Cash Withdrawal Charges (Home Branch)	Free
9	Cash Withdrawal Charges (Non Home Branch)	________plus GST as applicable
10	NEFT	As applicable
11	RTGS	As applicable
12	IMPS	As applicable
13	Account Statement - By post and e-mail	Free
14	Account Statement - Duplicate statement from Branch	________per statement plus GST as applicable
15	DP Charges / Pledge Charges	As applicable (will vary across various DP/Fund House) plus GST as applicable
16	Renewal Charges	________ plus GST as applicable
17	Prepayment Charges/ Foreclosure Charges	NA
18	Stamp duty Charges	As applicable

- Goods and Services tax (GST) will be charged extra as per the applicable rates, on all the charges and fees (wherever GST is applicable).
- Charges for other services are levied as applicable to ordinary current account. For Details please visit bank website **www.axisbank.com**
- The said Penal Charges will be subject to GST as per applicable law on Goods and Service Tax in India, and GST will be charged separately.
- *Financial Default includes all types of payment or financial defaults/irregularities with respect to your Loan Account.

Applicant Signature

Co-Applicant/Guarantor-1 Signature

Co-Applicant/Guarantor-2 Signature

Co-Applicant/Guarantor-3 Signature

Acknowledgment For Receipt Of Application Form

Date D D M M Y Y Y Y

Serial Number

To, ____________________

Axis Bank will convey its decision within 30 working days from the date of receipt of the application provided the application is complete in all respects and is submitted along with all the documents as per 'check list' provided in the application for loan and/or any additional documents as may be required by the bank for proper appraisal of the application. The computation of 30 days shall starts from the day on which all documents required for a proper appraisal of the application are provided by the Customer to bank.

For any queries / clarifications, please contact landline number____________________ Sales Executive ____________________

Sales Manager ____________________ E-mail ID ____________________

DSA ____________________

Nomination acknowledgement

☐ I. We acknowledge receipt of nomination made by you in favour of:

Name of nominee ____________________Age: ______________ year with respect to your application No______________________

☐ II. No nominee for the account since nomination facility not availed by the account holder.

Signature of Bank Official ________________________

Accordind to RBI's nomination guidelines, it is necessary to register a nominee on accounts opened under a single name. Appointing a nominee is beneficial for the following reasons:

1. If the account holder dies, the bank will easily pass on the account to the nominee
2. Hassale-free formalities for the nominee while claiming benefits