

**Annexure 1 – Lodgement (Non-LC/LC Bills)/Purchase/Discounting/Regularisation
of Exports Documents**

[Please fill details if applicable]

1. INSTRUCTIONS (Tick ✓ as applicable)

A. Type of Lodgement	B. Release of Documents
☐ Collection (URC 522 – Non LC)	☐ Payment
☐ Under LC	☐ On approval basis ☐ With Scrutiny
☐ Direct Dispatch	☐ Direct to Buyer ☐ Direct to Bank
☐ Purchase / Discount	
☐ Regularisation of Advance/Inward Remittance	☐ Of Advance ☐ Inward Remittance

Name and Address for Dispatch:

2. EXPORTER AND BILL DETAILS

Particulars	Details
Exporter (Drawer)	
IEC/IEPAN Code	
LEI Code (mandatory for remittance value above INR 50 Cr)	
Buyer/Consignee	
Drawee (if different)	
Country	
Nature of Transaction	☐ LC ☐ Non-LC
Bill Currency and Amount	
Tenor	

3. LC DETAILS (IF APPLICABLE):

Particulars	Details
LC Number and Date	
Issuing Bank and Country	
LC Currency and Amount	
Bill Ref No. and Due Date	
Send discrepant docs on approval basis	☐ Yes ☐ No

4. TRANSACTION DETAILS

Shipping Bill/EDF No.	Shipping Bill/EDF Date	Port Code

****Above the details are applicable for EDI Ports, for Non-EDI please submit EDF form**

5 DOCUMENTS SUBMITTED

We enclose herewith the following export documents:

<u>Document List</u>	<u>No. of Original</u>	<u>No. of Copies</u>	<u>Document List</u>	<u>No. of Original</u>	<u>No. of Copies</u>
Draft	-----	-----	Packing List	-----	-----
Commercial	-----	-----	Shipping	-----	-----
Invoice			Bill/EDF		
Bill of Lading /	-----	-----	Inspection	-----	-----
Airway Bill, etc			Certificate		
Insurance Policy	-----	-----	Others (Pls	-----	-----
Certificate of	-----	-----	specify)		
origin					

6. FINANCIAL INSTRUCTIONS

Particulars	Details
Credit Account No.	
Adjust Packing Credit	☐ Yes ☐ No
Export Packing Credit (EPC) Ref No.	
Adjust against FIR/FIRC	
Charges to be recovered from	☐ Buyer ☐ Exporter
Interest to be collected	☐ Yes ☐ No
Interest Rate and Period	

7. EXCEPTION HANDLING

Particulars	Details
Delay in submission: (>21 days for goods / >30 days for services)	☐ Yes ☐ No
Reason for delay	
Protest instructions	☐ Protest ☐ Do not protest

8. Third Party Transaction (IF APPLICABLE):

Sr No.	Particulars	Details
1	Name and address of buyer	
2	Name and country of the Remitter (third party details)	
3	Reason for receiving the payment from Third Party	

We hereby authorise you to debit applicable charges for this request to our Account No _____ maintained with Axis Bank Ltd.

Declarations

We hereby declare and undertake that:

1. We shall provide Axis Bank with such information, documents, clarifications and declarations as may be required for processing the transaction or for regulatory purposes.
2. We authorize Axis Bank to disclose transaction-related information and documents to RBI or any regulatory, statutory, supervisory or enforcement authority, wherever required under applicable laws.
3. We agree to indemnify and keep Axis Bank indemnified against any loss, claim, penalty, cost or expense arising from any incorrect information furnished by us or non-compliance relating to the transaction.
4. We understand that any non-compliance with applicable regulatory requirements may result in rejection, discontinuation, reporting or such other action as deemed appropriate by Axis Bank.
5. The third-party remittance is being received from an FATF-compliant country and relates directly to the underlying export transaction. (If applicable)

FEMA DECLARATION:

The declaration-cum-undertaking under Sec 10(5), Chapter III of FEMA, 1999 is enclosed as under:

I/We declare that the captioned transaction does not involve and is not designed for the purpose of any contravention or evasion of the provision of the Foreign Exchange management Act 1999 or any rule, regulations, notification, direction or order issued there under.

I/We also hereby agree and undertake to give such information/documents as will reasonably satisfy you about this transaction in terms of the above declaration. I/We also understand that if I/We refuse to comply with any such requirement or make only unsatisfactory compliance therewith, the bank shall refuse in writing to undertake the transaction and shall if it has reason to believe that any contravention/evasion is contemplated by me/us report the matter to Reserve Bank of India.

I/We declare and also understand that the foreign exchange to be acquired by me/us pursuant to this application shall be used by me/us only for the purpose for which it is acquired and that the conditions subject to which the exchange is granted will be complied with.

Sanctions Compliance Declaration

I/We confirm that I/we are aware of Axis Bank Limited's Sanctions Policy and that Axis Bank, including its subsidiaries and affiliates (the "Group"), is firmly committed to complying with all applicable sanctions laws (as imposed by UN, US, UK, EU or any other Government and/or Regulatory authorities) that are legally binding upon the Group and its businesses.

I/We understand that the Bank may decline, reject, suspend, delay or return any transaction which directly or indirectly involves sanctioned countries, territories, regions, persons, entities, vessels, ports or goods restricted under applicable sanctions laws / regulations.

I/We confirm that the shipment, goods, services, parties involved and any transshipment arrangements relating to this transaction do not involve any *country, *territory, region, person or entity that is subject to sanctions or restrictions under the sanction's laws/ regulations followed by Axis Bank from time to time.

*The list of jurisdictions subject to Comprehensive and Sectoral / Non-Comprehensive Sanctions, as adopted by the Bank and amended from time to time, currently includes -
Comprehensive Sanctions - Cuba, Iran, North Korea (DPRK), Crimea and Sevastopol, Donetsk People's Republic (DNR), Luhansk People's Republic (LNR), Kherson and Zaporizhzhia regions of Ukraine.

Sectoral / Non-Comprehensive Sanctions - Russia, Syria, Venezuela, Belarus and Sudan.

We further confirm that the undersigned is duly authorized to execute this Declaration-cum-Undertaking on behalf of the Company/Firm, and Axis Bank may rely upon the same for processing the subject transaction(s).

Thanking You

Yours Faithfully

Authorised Signatory

Date: _____